Financial System Transformation Information

- Timeline Overview-Alicia Reed
- Streamlyne-Nancy Biles, Pre-Award
- FITC-Anita Abel, Post Award
Timeline Changes

• **Implementation Dates**
  - Go-live moved from early July to mid-October
  - No user access to PeopleSoft after September 30
  - End of year dates are upcoming, Anita will talk about Office of Research dates

• **Benefits**
  - Time for a CRP3 (additional testing of functionality)
  - Business processes ready for User Acceptance Testing
  - Incorporate R12 from the start
Pre-Award Services

Streamlyne will incorporate the following functions:

- PI Checklist web form and ImageNow workflow;
- PeopleSoft grants module/Review Sheet;
- Cayuse 424 S2S;
- HawkDrive post-submission routing;
- Digital Vault (DV) document storage
Revised Transition Timeline

• Continue using PI Checklist web form until Streamlyne goes live
• Pre-Award Services will continue using PeopleSoft as system of record through at least September.
• Extended agreement with Cayuse allows S2S submission through October
• Continue using HawkDrive for post-submission routing and DV for document storage until Streamlyne goes live
Streamlyne Testing

• Initial testing with Office of Research Pre-Award Services and Super Centers will begin soon

• Research Center Pre-Award staff will be brought in at a later date

• If you currently use Cayuse or initiate proposals in FastLane or other submission portals, you will have similar permissions in Streamlyne

• No current plans to expand access
Anticipated Business Process Change: Pre-submission Routing and Approval

Current Process

• Pre-Award collects compliance and other proposal information from a variety of sources
• Information summarized in PeopleSoft and agency forms
• Review sheet and proposal routed through HawkDrive to PIs, Co-PIs, Key Personnel, Chairs, Deans Directors
• Absence of response is deemed to be approval
Proposed Future Process

1. PI completes checklist at proposal initiation
   • Compliance questions from current PI Checklist
   • Institutional and Collaboration questions from PI Questionnaire
   • Grants.gov questions for federal proposals
   • PHS Competing Continuation questions, if applicable

2. PI, Co-PI, maybe Key Personnel complete certification questions after proposal preparation, but before proposal submission
Proposed Routing Workflow

3. After Certification, proposal routed to Chairs, Directors for Approval
4. After Chair/Director Approval, proposal routed to Deans for Approval
5. Pre-Award Services provides final approval for submission to sponsor
Changes at Post Award

• Post Award
  – Separate projects for all fund types

  – Budget
    • Ability to control/alert to over-spending on a budget line basis or project level basis
    • Ability to track budget adjustments
Changes at Post Award

– Project Numbers

  • New Projects: No alpha-numeric project numbers (FEDxxxxxxx)
  • Existing Projects: Existing numbers
Fiscal Year End Considerations

• Be aware of provided deadlines for fiscal transactions – these deadlines are communicated separately by Katrina Yoakum/Gina Cregg
• Be aware Summer Pay deadlines
• Provisionals – request timely
• FY transition: 7/1/17 begin dates, submit provisional by 6/9
PROVISIONALS

• We cannot authorize use of non-existent funding
• If funding is anticipated and have confirmation from sponsor, PI and department may wish to request a provisional account
• Additional scrutiny is applied to provisional requests for Contracts
• If provisional is pending RPPR/progress report, use non-restricted funding
PROVISIONALS

• Once progress report has been submitted, request a provisional account
• All compliances need to be met prior to provisional account established
• Once provisional account number is established, update appointments with the new number accordingly
• Timely processing will alleviate need for RFAs
Help From You

- Patience at go-live and during stabilization
- Continued diligence on project monitoring and appropriate spending so system functionality can be utilized
- Feedback on new business processes and reporting
Questions?
Overall Financial System Transformation

https://fst.ku.edu/

Streamlyne

http://research.ku.edu/streamlyne_implementation