

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC
(A Component Unit of the University of Kansas)

**FINANCIAL STATEMENTS
TOGETHER WITH INDEPENDENT AUDITOR'S REPORT**

**FOR THE FISCAL YEARS ENDED
JUNE 30, 2014 and 2013**

University of Kansas Center for Research, Inc.

Table of Contents

Independent Auditor's Report on Financial Statements	1-2
--	-----

Financial Statements

Statements of Financial Position	3
Statement of Activities for the year ended June 30, 2014.....	4
Statement of Activities for the year ended June 30, 2013.....	5
Statements of Cash Flows.....	6
Notes to the Financial Statements	7-18

Single Audit

Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards.....	19-20
Independent Auditor's Report on Compliance for Each Major Program and on Internal Control Over Compliance Required by OMB Circular A-133.....	21-22
Schedule of Expenditures of Federal Awards	23-46
Notes to the Schedule of Expenditures of Federal Awards.....	47-48
Schedule of Findings and Questioned Costs	49-50



COCHRAN HEAD VICK & CO., P.A.

& Co

Certified Public Accountants

1333 Meadowlark Lane
Kansas City, KS 66102
(913) 287-4433
(913) 287-0010 FAX

INDEPENDENT AUDITOR'S REPORT

Board of Trustees
University of Kansas Center for Research, Inc.
Lawrence, Kansas

Report on the Financial Statements

We have audited the accompanying financial statements of the University of Kansas Center for Research, Inc. (the Center), a component unit of the University of Kansas (the University), which comprise the statements of financial position as of June 30, 2014 and 2013, and the related statements of activities, and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Center as of June 30, 2014 and 2013, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Other Offices

1251 NW Briarcliff Pkwy
Suite 125
Kansas City, MO 64116
(816) 453-7014
(816) 453-7016 FAX

6700 Antioch Rd, Suite 460
Merriam, Kansas 66204
(913) 378-1100
(913) 378-1177 FAX

400 Jules Street
Suite 415
St. Joseph, MO 64501
(816) 364-1118
(816) 364-6144 FAX

Other Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards, as required by Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated September 30, 2014, on our consideration of the Center's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Center's internal control over financial reporting and compliance.

Kansas City, Kansas
September 30, 2014

Cochran Head Vick & Co., P.A.

University of Kansas Center for Research, Inc.
Statements of Financial Position
June 30, 2014 and 2013

	2014	2013
Assets		
Current assets:		
Cash and cash equivalents	\$ 9,937,673	\$ 14,205,861
Current investments	36,055,260	28,443,265
Interest receivable	86,258	89,696
Accounts receivable, net		
Federal	25,276,694	20,174,867
State	1,163,820	1,803,293
Other	9,206,791	5,201,768
Total accounts receivable	35,647,305	27,179,928
Note receivable - current	47,766	45,818
Prepaid expenses	236,635	150,710
Total current assets	82,010,897	70,115,278
Property and equipment, net	22,933,752	24,479,874
Buildings and equipment in progress	948,294	882,668
Total property and equipment	23,882,046	25,362,542
Long-term investments	6,714,103	7,351,304
Other assets		
Bond issuance costs and other	544,635	603,726
Notes receivable	887,160	934,925
Total other assets	1,431,795	1,538,651
Total assets	\$ 114,038,841	\$ 104,367,775
Liabilities and Net Assets		
Current liabilities:		
Accounts payable and accrued expenses	\$ 21,940,546	\$ 15,676,140
Current portion of long-term debt	3,332,349	3,054,287
Assets held for others	6,939,183	-
Deferred revenues - sponsored research		
Federal	2,388,230	4,406,008
State	2,065,419	3,336,249
Other	5,779,463	5,332,622
Total deferred revenues	10,233,112	13,074,879
Total current liabilities	42,445,190	31,805,306
Bonds payable, net	37,437,071	40,753,703
Total liabilities	79,882,261	72,559,009
Net Assets		
Unrestricted	27,011,379	25,523,953
Temporarily restricted	1,274,195	533,807
Permanently restricted	5,871,006	5,751,006
Total net assets	34,156,580	31,808,766
Total liabilities and net assets	\$ 114,038,841	\$ 104,367,775

See notes to the financial statements

University of Kansas Center for Research, Inc.
Statement of Activities
For the year ended June 30, 2014

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Revenues, Gains, and Other Support				
Direct research income	\$ 126,291,625	\$ -	\$ -	\$ 126,291,625
Facilities & administrative (F&A) revenue	24,677,737	-	-	24,677,737
	<u>150,969,362</u>	-	-	<u>150,969,362</u>
Service centers	4,708,714	-	-	4,708,714
Royalties	5,163,677	-	-	5,163,677
Investment income	1,035,334	990,529	-	2,025,863
Contributions	-	-	120,000	120,000
Other income	640,538	-	-	640,538
	<u>11,548,263</u>	<u>990,529</u>	<u>120,000</u>	<u>12,658,792</u>
Net assets released from restriction:				
Satisfaction of program restrictions	250,141	(250,141)	-	-
	<u>162,767,766</u>	<u>740,388</u>	<u>120,000</u>	<u>163,628,154</u>
Expenses				
Direct research expenses	126,291,625	-	-	126,291,625
Capitalized expenses for property and equipment	(5,176,695)	-	-	(5,176,695)
	<u>121,114,930</u>	-	-	<u>121,114,930</u>
Corporation F&A expenses:				
University support	2,547,977	-	-	2,547,977
Research admin., unit support, startup	13,784,314	-	-	13,784,314
Matching costs	1,465,206	-	-	1,465,206
Interest expense-bonds	1,601,566	-	-	1,601,566
Research unit/school expenses	3,791,486	-	-	3,791,486
Service centers	3,457,834	-	-	3,457,834
Other programs services	3,404,669	-	-	3,404,669
Depreciation	5,160,103	-	-	5,160,103
Loss on disposal of assets	278,081	-	-	278,081
Loss on partial bond refunding	910,088	-	-	910,088
Total expenses	<u>157,516,254</u>	-	-	<u>157,516,254</u>
Change in net assets before transfers	5,251,512	740,388	120,000	6,111,900
Transfers to University	<u>(3,764,086)</u>	-	-	<u>(3,764,086)</u>
Change in net assets	1,487,426	740,388	120,000	2,347,814
Net assets, beginning of year	<u>25,523,953</u>	<u>533,807</u>	<u>5,751,006</u>	<u>31,808,766</u>
Net assets, end of year	<u>\$ 27,011,379</u>	<u>\$ 1,274,195</u>	<u>\$ 5,871,006</u>	<u>\$ 34,156,580</u>

See notes to the financial statements

University of Kansas Center for Research, Inc.
Statement of Activities
For the year ended June 30, 2013

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Revenues, Gains, and Other Support				
Direct research income	\$ 134,468,296	\$ -	\$ -	\$ 134,468,296
Facilities & administrative (F&A) revenue	25,731,884	-	-	25,731,884
	<u>160,200,180</u>	<u>-</u>	<u>-</u>	<u>160,200,180</u>
Service centers	4,620,228	-	-	4,620,228
Royalties	5,224,372	-	-	5,224,372
Donations of equipment - non project funds	37,341	-	-	37,341
Investment income	346,197	565,047	-	911,244
Contributions	-	-	120,000	120,000
Other income	994,067	-	-	994,067
	<u>11,222,205</u>	<u>565,047</u>	<u>120,000</u>	<u>11,907,252</u>
Net assets released from restriction:				
Satisfaction of program restrictions	249,848	(249,848)	-	-
	<u>171,672,233</u>	<u>315,199</u>	<u>120,000</u>	<u>172,107,432</u>
Expenses				
Direct research expenses	134,468,296	-	-	134,468,296
Capitalized expenses for property and equipment	(5,047,026)	-	-	(5,047,026)
	<u>129,421,270</u>	<u>-</u>	<u>-</u>	<u>129,421,270</u>
Corporation F&A expenses:				
University support	579,295	-	-	579,295
Research admin., unit support, startup	11,264,886	-	-	11,264,886
Matching costs	1,058,455	-	-	1,058,455
Interest expense-bonds	1,353,228	-	-	1,353,228
Research unit/school expenses	9,979,035	-	-	9,979,035
Service centers	3,985,604	-	-	3,985,604
Other programs services	5,710,690	-	-	5,710,690
Depreciation	5,341,289	-	-	5,341,289
Loss on disposal of assets	242,908	-	-	242,908
Total expenses	<u>168,936,660</u>	<u>-</u>	<u>-</u>	<u>168,936,660</u>
Change in net assets before transfers	2,735,573	315,199	120,000	3,170,772
Transfers to University	<u>(3,575,460)</u>	<u>-</u>	<u>-</u>	<u>(3,575,460)</u>
Change in net assets	(839,887)	315,199	120,000	(404,688)
Net assets, beginning of year	<u>26,363,840</u>	<u>218,608</u>	<u>5,631,006</u>	<u>32,213,454</u>
Net assets, end of year	<u>\$ 25,523,953</u>	<u>\$ 533,807</u>	<u>\$ 5,751,006</u>	<u>\$ 31,808,766</u>

See notes to the financial statements

University of Kansas Center for Research, Inc.
Statements of Cash Flows
For the years ended June 30, 2014 and 2013

	2014	2013
Operating Activities		
Cash received for research contracts, grants and service accounts and unit support	\$ 154,329,660	\$ 174,468,994
Royalties received	2,580,956	2,285,319
Interest received	736,481	565,841
Payments to suppliers and employees	(148,654,574)	(166,818,181)
Interest paid	(2,840,780)	(1,611,228)
Other operating receipts	5,540,227	6,399,212
Net cash provided by operating activities	11,691,970	15,289,957
Investing Activities		
Purchase of property and equipment	(7,721,774)	(9,629,472)
Purchase of investments	(23,627,850)	(26,223,473)
Proceeds from sales and maturities of investments	17,945,876	26,625,434
Collection of notes receivable	45,817	43,951
Net cash used in investing activities	(13,357,931)	(9,183,560)
Financing Activities		
Proceeds from issuance of bonds payable	10,580,000	-
Bond premium, issuance costs, and other, net	62,773	-
Principal payments of long-term debt	(13,365,000)	(2,845,000)
Permanently restricted contributions	120,000	120,000
Net cash used in financing activities	(2,602,227)	(2,725,000)
Increase (Decrease) in Cash and Cash Equivalents	(4,268,188)	3,381,397
Cash and Cash Equivalents, Beginning of Year	14,205,861	10,824,464
Cash and Cash Equivalents, End of Year	\$ 9,937,673	\$ 14,205,861
Reconciliation of Change in Net Assets to Net Cash Provided by Operating Activities		
Change in net assets	\$ 2,347,814	\$ (404,688)
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation	5,160,103	5,341,289
Amortization	(1,167,340)	(186,126)
Donations of equipment - non project funds	-	(37,341)
Loss on disposal of property and equipment	278,081	242,908
Loss on extinguishment of bonds	910,088	-
Realized and unrealized (gains) losses on investments	(1,292,820)	(376,441)
Transfer of assets to University of Kansas	3,764,086	3,575,460
Permanently restricted contributions	(120,000)	(120,000)
Changes in:		
Accounts receivable - research	(3,128,864)	3,760,292
Interest receivable	3,438	31,038
Prepaid expenses	(85,925)	(98,571)
Accounts payable and accrued expenses	925,893	546,265
Assets Held for Others	6,939,183	-
Deferred revenues - research	(2,841,767)	3,015,872
Net cash provided by operating activities	\$ 11,691,970	\$ 15,289,957
Supplemental Cash Flow Information		
Noncash investing activities:		
Donations of equipment - non project funds	\$ -	\$ 37,341

See notes to the financial statements

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

Nature of Operations and Summary of Significant Accounting Policies

Nature of Operations

The University of Kansas Center for Research, Inc. (the Center) is a not-for-profit organization that operates under the administrative jurisdiction of the University of Kansas (the University or KU). The Center is a component unit of the University and administers sponsored agreements to conduct research and training for the University. The Center's revenues and other support are derived principally from federal, state, and private grants and its activities are conducted principally in Lawrence, Kansas.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues, expenses, gains, losses and other changes in net assets during the reporting period. Actual results could differ from those estimates.

Cash Equivalents

The Center considers all liquid investments with original maturities of three months or less to be cash equivalents. At June 30, 2014 and 2013, cash equivalents consisted primarily of an interest bearing checking account that is fully collateralized by FDIC insurance and US Bank.

Investments and Investment Return

Investments consist of equity mutual funds, exchange traded funds, fixed income debt securities, U.S Treasury and agency obligations and corporate obligations rated A- or better (see note 2). All investments are carried at fair value. Investment return includes dividend, interest and other investment income; realized and unrealized gains and losses on investments carried at fair value; and realized gains and losses on other investments.

Property and Equipment

Property and equipment are recorded at cost at the date of acquisition, or estimated fair value at the date of donation in the case of gifts. Property and equipment are depreciated on a straight-line basis over the estimated useful life of each asset, generally 3 to 40 years. For equipment, the Center's capitalization policy includes all items with a unit cost of \$5,000 or more, and an estimated useful life greater than one year. Scientific equipment acquired with research funds are expensed when purchased if such equipment must be returned to the grantor at the end of the research project. Renovations to buildings, infrastructure and land improvements that significantly increase the value or extend the useful life of the structure are capitalized if the related project cost exceeds \$100,000. Routine repairs and maintenance are charged to operating expense in the year in which the expense was incurred.

Bond Issuance Costs

Bond issuance costs incurred on the revenue bond issues have been deferred and are being amortized over the life of the bonds using the straight-line method.

Net Assets

The Center is required to report information regarding its financial position and activities according to the following three classes of net assets:

Permanently Restricted – Net assets donated with stipulations that they be invested to provide a permanent source of income (e.g., endowment funds); such restrictions can neither expire with the passage of time nor be removed by fulfillment of a stipulated purpose.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

Temporarily Restricted – Net assets whose use by the Center is limited by donor-imposed restrictions that either expire by the passage of time or can be removed by fulfillment of the stipulated purpose for which the donation was restricted.

Unrestricted – Net assets that are free of donor-imposed restrictions and resources used in support of the Center’s general operations.

Revenue Recognition

Support funded by grants is recognized as the Center performs the contracted services or incurs outlays eligible for reimbursement under the grant agreements. Grant activities and outlays are subject to audit and acceptance by the granting agency and, as a result of such audit, adjustments could be required.

Research project revenues are received in connection with exchange transactions wherein the Center is obligated to provide certain goods and/or services. Revenues received for research projects are received either in advance of costs incurred or on a cost-reimbursement basis. Any revenues received in advance are deferred. The costs of projects on a cost-reimbursement basis are recognized as incurred and related revenue is recognized when earned (primarily as costs are incurred), whether billed or unbilled. Facilities and administrative (F&A) reimbursement revenues are earned as a percentage of direct costs charged to sponsored projects. The percentage is based on the rate negotiated with the U.S. Department of Health and Human Services and is included in each award budget.

An allowance for uncollectible cost reimbursement amounts is provided based on management’s evaluation of potential uncollectible cost reimbursement amounts at year end.

The composition of accounts receivable at June 30, 2014 and 2013 are as follows:

<u>June 30, 2014</u>	<u>Receivables</u>	<u>Allowance</u>	<u>Net</u>
Accounts receivable - federal	\$ 25,276,694	\$ -	\$ 25,276,694
Accounts receivable - state	1,163,820	-	1,163,820
Accounts receivable - other	9,275,963	(69,172)	9,206,791
	<u>\$ 35,716,477</u>	<u>\$ (69,172)</u>	<u>\$ 35,647,305</u>

<u>June 30, 2013</u>	<u>Receivables</u>	<u>Allowance</u>	<u>Net</u>
Accounts receivable - federal	\$ 20,174,867	\$ -	\$ 20,174,867
Accounts receivable - state	1,803,293	-	1,803,293
Accounts receivable - other	5,201,768	-	5,201,768
	<u>\$ 27,179,928</u>	<u>\$ -</u>	<u>\$ 27,179,928</u>

Contributions

Gifts of cash and other assets received without donor stipulations are reported as unrestricted revenue and net assets. Gifts received with a donor stipulation that limits their use are reported as temporarily or permanently restricted revenue and net assets. When a donor stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions. Gifts and investment income that are originally restricted by the donor and for which the restriction is met in the same time period are recorded as temporarily restricted and then released from restriction.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

Income Taxes

The Center is exempt from income taxes under Section 501 (c)(3) of the Internal Revenue Code and a similar provision of state law. The Center is subject to federal income tax on any unrelated business taxable income. However, management believes that any unrelated business taxes due, if any, would not be material to the financial statements. The Center's accounting policy is to provide liabilities for uncertain tax positions when a liability is probable and estimable. Management is not aware of any violation of its tax status as an organization exempt from income taxes. The Center is no longer subject to U.S. federal and state income tax examinations by tax authorities for years through 2010.

Reclassification – Certain amounts reported in the prior year have been reclassified to conform to the current year's presentation.

2) Investments and Investment Return

The Executive Committee as well as the Finance and Audit Committee of the Center oversee the Center's investments. In accordance with the Center's investment policy, investments are limited to money market funds, U.S. Treasury obligations (Bills, Notes, Bonds), U.S. Government Agency obligations, corporate obligations rated 'A-' or better, equity mutual funds, exchange traded funds, stocks sold on major international exchanges such as NYSE, ASE, and NASDAQ, and fully collateralized repurchase agreements. Asset allocation targets are reviewed semi-annually by the Finance and Audit Committee.

Investments Held by Kansas University Endowment Association

Investments in pooled funds held by Kansas University Endowment Association (KU Endowment) are valued at the proportional value of the overall fund which estimates fair value. Investment earnings are allocated monthly on a prorated basis representative of the Center's overall percentage of ownership in the applicable pooled funds.

The Center classifies funds that are available for immediate expenditure as current assets and funds invested in KU Endowment's long-term investment program and other investment funds as noncurrent assets.

Fair Value Measurements

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Center determines fair values based on the fair value hierarchy which requires an entity to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The following are the three levels of inputs that may be used to measure fair value: Level 1 inputs consist of quoted prices in active markets for identical assets or liabilities that the Center can access at the measurement date, Level 2 consists of inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly, and Level 3 are unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities.

The determination of where an asset or a liability falls in the hierarchy requires significant judgment. The Center evaluates its hierarchy disclosures for each reporting period; based on various factors; it is possible that an asset or a liability may be classified differently from one reporting period to another. However, the Center expects that changes in classifications between different levels will be rare. The Center uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Center measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

The Center's investments at June 30 consisted of the following:

**Fair Value Measurements at
Reporting Date Using:**

	<u>Fair Value</u>	<u>Quoted Prices in Active Markets for Identical Assets (Level 1)</u>	<u>Significant Other Observable Inputs (Level 2)</u>
June 30, 2014			
U.S. treasury obligations	\$ 8,278,526	\$ -	\$ 8,278,526
U.S. agency obligations	14,336,061	-	14,336,061
Exchange traded Funds	2,953,780	2,953,780	-
Equity mutual funds	3,406,504	3,406,504	-
Other fixed Income	2,876,051	-	2,876,051
Money market mutual funds	2,914,863	-	2,914,863
Funds held in trust for debt service	843,097	843,097	-
Investments held by KU Endowment	7,160,481	-	7,160,481
	<u>\$ 42,769,363</u>	<u>\$ 7,203,381</u>	<u>\$ 35,565,982</u>

Amounts reported on the
statement of financial position

Current investments	\$ 36,055,260
Long-term investments	6,714,103
	<u>\$ 42,769,363</u>

June 30, 2013

U.S. treasury obligations	\$ 3,122,492	\$ -	\$ 3,122,492
U.S. agency obligations	18,573,588	-	18,573,588
Exchange traded Funds	982,243	982,243	-
Equity mutual funds	1,190,441	1,190,441	-
Other fixed Income	2,744,023	-	2,744,023
Money market mutual funds	1,274,946	-	1,274,946
Funds held in trust for debt service	1,600,298	1,600,298	-
Investments held by KU Endowment	6,306,538	-	6,306,538
	<u>\$ 35,794,569</u>	<u>\$ 3,772,982</u>	<u>\$ 32,021,587</u>

Amounts reported on the
statement of financial position

Current investments	\$ 28,443,265
Long-term investments	7,351,304
	<u>\$ 35,794,569</u>

Total investment return is comprised of the following:

	<u>2014</u>	<u>2013</u>
Interest and dividend income	\$ 733,043	\$ 534,803
Net realized and unrealized gain/(loss) on investments reported at fair value	<u>1,292,820</u>	<u>376,441</u>
	<u>\$ 2,025,863</u>	<u>\$ 911,244</u>

Investment expense, included in investment income, was \$21,835 and \$20,794 for the years ended June 30, 2014 and 2013, respectively.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

3) Property and Equipment

Property and equipment at June 30, 2014 consists of:

	Beginning Balances	Increases	Decreases	Ending Balances
Land	\$ 630,208	\$ 178,600	\$ -	\$ 808,808
Building	1,163,710	291,400	-	1,455,110
Office equipment	439,248	28,513	-	467,761
Scientific equipment	61,645,869	3,341,072	1,322,611	63,664,330
	<u>63,879,035</u>	<u>3,839,585</u>	<u>1,322,611</u>	<u>66,396,009</u>
Less accumulated depreciation:				
Building	72,732	91,406	-	164,138
Office equipment	170,937	51,991	-	222,928
Scientific equipment	39,155,492	5,016,706	1,097,007	43,075,191
	<u>39,399,161</u>	<u>5,160,103</u>	<u>1,097,007</u>	<u>43,462,257</u>
	<u>24,479,874</u>	<u>(1,320,518)</u>	<u>225,604</u>	<u>22,933,752</u>
Buildings and equipment in progress	<u>882,668</u>	<u>607,866</u>	<u>542,240</u>	<u>948,294</u>
Total, net	<u>\$ 25,362,542</u>	<u>\$ (712,652)</u>	<u>\$ 767,844</u>	<u>\$ 23,882,046</u>

The statements of financial position of the Center include only those property and equipment purchases or donations that remain the Center's property. Accordingly, the historical cost of property and equipment purchased by the Center and transferred to the University are reflected within the University's financial statements.

Transfers to the University for 2014 and 2013 were:

	2014	2013
Property, equipment, and other	<u>\$ 3,764,086</u>	<u>\$ 3,575,460</u>

4) Notes Receivable

In fiscal year 2010, the Center loaned the Lawrence-Douglas County Biosciences Authority \$1,000,000 to assist in the financing of the construction of the Bioscience and Technology Business Center (BTBC). The note matures on June 21, 2020 requiring a final principal payment of \$651,692 on the maturity date. The note bears interest at 4.25%, and is secured by an unconditional assignment of amounts payable to BTBC under commitments from the City of Lawrence, Kansas and Douglas County, Kansas (annual commitments by each of \$75,000 per year through 2018) and by an assignment of rent from all tenants of the facility.

An additional \$100,000 was loaned to the Lawrence-Douglas County Biosciences Authority on December 15, 2010 under the same terms as the initial note. The second note matures on December 21, 2015 requiring a final principal payment of \$67,522 on the maturity date.

Both notes are recorded at the unpaid principal balance. Management believes the entire balance is collectible, as such management has made no provisions for uncollectible amounts. Interest is recognized over the term of the notes and is calculated using the simple-interest method on the outstanding principal.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

A summary of changes in notes receivable is as follows:

	<u>June 30, 2013</u>	<u>Additions</u>	<u>Repayments</u>	<u>June 30, 2014</u>	<u>Current Portion</u>
BTBC notes	\$ 980,743	\$ -	\$ 45,817	\$ 934,926	\$ 47,766

Future repayment activity for the notes is as follows:

Year Ending June 30,	Principal	Interest	Total
2015	\$ 47,766	\$ 39,734	\$ 87,500
2016	104,818	37,704	142,522
2017	41,750	33,250	75,000
2018	43,525	31,475	75,000
2019	45,375	29,625	75,000
2020	651,692	27,697	679,389
	<u>\$ 934,926</u>	<u>\$ 199,485</u>	<u>\$ 1,134,411</u>

5) Research Project Expenditures/Revenues

The University has transferred substantially all of its research grants to the administrative control of the Center. The University and Center total sponsored research activity for the years ended June 30, 2014 and 2013 was as follows:

	<u>2014</u>	<u>2013</u>
<u>University Activity (Unaudited)</u>		
University Research Expenditures	\$ 1,417,715	\$ 4,699,673
University Indirect Cost	91,138	21,371
Total University Activity (Unaudited)	1,508,853	4,721,044
<u>Center for Research Activity</u>		
Center for Research Expenditures non-ARRA	123,938,665	128,264,006
Center for Research Expenditures ARRA	2,352,960	6,204,290
Total Center Research Expenditures	126,291,625	134,468,296
Center Indirect Cost non-ARRA	24,502,726	25,394,716
Center Indirect Cost ARRA	175,011	337,168
Total Center Indirect Cost	24,677,737	25,731,884
Total Sponsored Activity	<u>\$ 152,478,215</u>	<u>\$ 164,921,224</u>

Research expenditures and indirect costs relating to the American Recovery and Reinvestment Act (ARRA) of 2009 are incremental, one-time awards.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

6) Long-Term Debt

A summary of the changes in long term debt is as follows:

	<u>June 30, 2013</u>	<u>Additions</u>	<u>Retirements</u>	<u>June 30, 2014</u>	<u>Current Portion</u>
Revenue bonds:					
Series 2006G	\$ 13,895,000		\$ 11,175,000	\$ 2,720,000	\$ 640,000
Series 2012E	27,420,000	-	2,190,000	25,230,000	2,230,000
Series 2014E	-	10,580,000	-	10,580,000	200,000
	<u>41,315,000</u>	<u>10,580,000</u>	<u>13,365,000</u>	<u>38,530,000</u>	<u>3,070,000</u>
Bond premium 2006G	364,259	-	306,032	58,227	16,636
Bond premium 2012E	2,128,731	-	221,796	1,906,935	221,796
Bond premium 2014E	-	275,044	786	274,258	23,917
	<u>2,492,990</u>	<u>275,044</u>	<u>528,614</u>	<u>2,239,420</u>	<u>262,349</u>
Total	<u>\$ 43,807,990</u>	<u>\$ 10,855,044</u>	<u>\$ 13,893,614</u>	<u>\$ 40,769,420</u>	<u>\$ 3,332,349</u>

Long-term debt at June 30, 2014, is comprised of the following:

<u>Revenue Bonds</u>	<u>Balance at June 30, 2014</u>
<p>\$17,085,000 - Series 2006G Kansas Development Finance Authority Revenue Bonds (\$15,830,000 tax-exempt and \$1,255,000 taxable). In 2014, \$10,560,000 of the 2006G bonds were advanced refunded with the issuance of the 2104E bonds described below. Remaining annual installments of \$640,000 to \$720,000 with final maturity on 2/1/18. Interest at 4.00% payable semi-annually. A rating of A was assigned by Standard & Poor's and a rating of A1 was assigned by Moody's Investor Services. A debt service reserve fund was established in accordance with the covenants of the bond. At June 30, 2014 the balance in this reserve fund was \$767,033.</p>	\$ 2,720,000
<p>\$29,675,000 - Series 2012E Kansas Development Finance Authority Revenue Refunding Bonds (\$14,075,000 tax-exempt and \$15,600,000 taxable). Due in annual installments of \$2,190,000 to \$2,950,000. Issued 03/15/12 with final maturity on 2/1/24. The first principal payment was due 2/1/13. Interest ranging from 2.00% to 5.00% payable semi-annually. A rating of AA Stable was assigned by Standard & Poor's and a rating of Aa1 was assigned by Moody's Investor Services. A pledge of Center revenues and a second pledge of all University revenues, excluding restricted revenues, are available for full payment of the debt service in the event the Center is not able to make debt service payments.</p>	25,230,000
<p>\$10,580,000 - Series 2014E Kansas Development Finance Authority Revenue Bonds (tax-exempt). Due in annual installments of \$90,000 to \$4,045,000. Issued 06/19/14 with final maturity on 02/01/25. The first principal payment is due 02/01/15. Interest ranging from 2.00% to 3.00% payable semi-annually. A rating of AA was assigned by Standard & Poor's and a rating of Aa2 was assigned by Moody's Investor Services. A pledge of Center revenues and a second pledge of all University's revenues, excluding restricted revenues, are available for full payment of the debt service in the event the Center is not able to make debt service payments. Proceeds for the 2014E bonds were used to advance refund \$10,560,000 of the 2006G Kansas Development Finance Authority Tax-Exempt Revenue Bonds described above, which were originally used to finance the construction of the Structural Biology Center Phase III building.</p>	<u>10,580,000</u>
	<u>\$ 38,530,000</u>

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

Proceeds from the 2012E bonds were used to extinguish the Series 2005B Kansas Development Finance Authority Taxable Revenue Bonds, which were originally used to finance the construction of Multidisciplinary Research building.

Proceeds for the 2014E bonds were used to partially advance refund the series 2006G Kanas Development Finance Authority Tax-Exempt Revenue Bonds, which were originally used to finance the construction of the Structural Biology Center Phase III building.

In accordance with University policy, the capitalized costs of the Multidisciplinary Research building and Structural Biology Center Phase III building have been previously transferred to and are reported on University's financial statements. At June 30, 2014 and 2013, the net book value of these facilities was \$40.5 million and \$42.8 million, respectively.

Future revenue bond debt service requirements at June 30, 2014 are as follows:

Year Ending June 30,	2006G		2012E		2014E		Total	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
2015	\$ 640,000	\$ 108,800	\$ 2,230,000	\$ 840,050	\$ 200,000	\$ 177,785	\$ 3,070,000	\$ 1,126,635
2016	665,000	83,200	2,275,000	795,450	95,000	284,300	3,035,000	1,162,950
2017	695,000	56,600	2,320,000	749,950	90,000	282,400	3,105,000	1,088,950
2018	720,000	28,800	2,370,000	703,550	845,000	280,600	3,935,000	1,012,950
2019	-	-	2,415,000	656,150	830,000	263,700	3,245,000	919,850
2020-2024	-	-	13,620,000	1,727,050	4,475,000	1,008,650	18,095,000	2,735,700
2025	-	-	-	-	4,045,000	121,350	4,045,000	121,350
	<u>\$ 2,720,000</u>	<u>\$ 277,400</u>	<u>\$ 25,230,000</u>	<u>\$ 5,472,200</u>	<u>\$ 10,580,000</u>	<u>\$ 2,418,785</u>	<u>\$ 38,530,000</u>	<u>\$ 8,168,385</u>

On June 19, 2014, the Center issued \$10,580,000 in tax-exempt bonds with an average interest rate of 2.9% to advance refund \$10,560,000 of outstanding Series 2006G Kansas Development Finance Authority Revenue Bonds (2006G bonds) with an average interest rate of 4.8%. The net proceeds of \$10,518,835 (after payment of \$212,271 in underwriting fees, legal and other issuance costs) plus an additional \$867,093 of 2006G debt service reserve fund monies were deposited into a trust account to partially refund a portion of the 2006G bonds and pay certain expenses incurred with issuance of the series 2014E bonds. As a result, a portion of the 2006G tax-exempt bonds are considered to be legally defeased and the liability for these bonds has been removed from the Center's statement of financial position. A balance of \$2,720,000 of the 2006G tax-exempt bonds remains on the Center's statement of financial position and have final maturity on 02/01/18. The Center refunded a portion of the 2006G tax-exempt bonds to reduce its total debt service payments over the next 12 years by almost \$2,651,000 and to obtain an economic gain (difference between the present values of the debt service payments on the old and new debt) of approximately \$794,000.

7) Net Assets

Temporarily Restricted Net Assets

Temporarily restricted net assets available at June 30 are restricted for the following purposes:

	<u>2014</u>	<u>2013</u>
Dole Institute	\$ 1,037,379	\$ 421,289
Humanities	236,816	112,518
	<u>\$ 1,274,195</u>	<u>\$ 533,807</u>

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

Permanently Restricted Net Assets

Permanently restricted net assets by purpose at June 30 are as follows:

	2014	2013
Dole Institute	\$ 5,049,881	\$ 5,049,881
Humanities	821,125	701,125
	\$ 5,871,006	\$ 5,751,006

The income generated from these permanently restricted assets is to be used for activities relating to the restricted purposes.

Net assets released from restrictions

Net assets were released from donor restrictions by incurring expenses satisfying the restricted purposes or by occurrence of other events specified by donors.

	2014	2013
Dole Institute	\$ 245,513	\$ 246,124
Humanities	4,628	3,724
	\$ 250,141	\$ 249,848

8) Endowments

The Center's endowments consist of grant funded and individual donor-restricted funds established for a variety of purposes. As required by accounting principles generally accepted in the United States of America (GAAP), net assets associated with endowment funds are classified and reported based on the existence or absence of donor-imposed restrictions.

The Center's governing body has interpreted the State of Kansas Prudent Management of Institutional Funds Act (SPMIFA) as requiring preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. As a result of this interpretation, the Center classifies as permanently restricted net assets (a) the original value of grants and gifts donated to the permanent endowment, (b) the original value of subsequent gifts to the permanent endowment and (c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund.

The remaining portion of donor-restricted endowment funds is classified as temporarily restricted net assets until those amounts are appropriated for expenditure by the Center in a manner consistent with the standard of prudence prescribed by SPMIFA.

In accordance with SPMIFA, the Center considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

1. Duration and preservation of the fund
2. Purposes of the Center and the fund
3. General economic conditions
4. Possible effect of inflation and deflation
5. Expected total return from investment income and appreciation or depreciation of investments
6. Other resources of the Center
7. Investment policies of the Center

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

The composition of donor-restricted endowment funds by net asset restriction and the changes in donor-restricted endowment net assets for the years ended June 30, 2014 and 2013 were:

	Restricted	Restricted
Endowment net assets, July 1, 2013	\$ 533,807	\$ 5,751,006
Contributions	-	120,000
Investment income	289,089	-
Unrealized gains(losses) on investments	701,440	-
Amounts appropriated for expenditure	(250,141)	-
Endowment net assets, June 30, 2014	\$ 1,274,195	\$ 5,871,006
Endowment net assets, July 1, 2012	\$ 218,608	\$ 5,631,006
Contributions	-	120,000
Investment income	279,599	-
Unrealized gains(losses) on investments	285,448	-
Amounts appropriated for expenditure	(249,848)	-
Endowment net assets, June 30, 2013	\$ 533,807	\$ 5,751,006

The Center has invested the above endowments in pooled funds held and managed by the Kansas University Endowment Association (KU Endowment).

KU Endowment has investment policies that attempt to provide a predictable stream of funding to programs supported by operations, as well as endowment donations. Assets are invested in a manner that is intended to produce results that exceed the respective benchmark while assuming a moderate level of investment risk. KU Endowment expects its endowment funds, over time, to provide an average rate of return of approximately 8% annually. Actual returns in any given year may vary from this amount.

Strategies Employed for Achieving Objectives:

To satisfy its long-term rate-of-return objectives, KU Endowment relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends net of fees). KU Endowment targets a diversified asset allocation to achieve its long-term return objectives within prudent risk constraints.

Spending Policy and How the Investment Objectives Relate to Spending Policy:

Except as specified by the donor, KU Endowment has adopted a policy in which earnings are allocated monthly for spending based on 5.5% of the 12 quarterly average market value of the endowment fund investment pool as of September 30. In establishing this policy, KU Endowment considered the long-term expected return on its endowment. Accordingly, over the long term, KU Endowment expects the current spending policy to allow its endowment to grow at an average of the long-term rate of inflation. This is consistent with KU Endowment's objective to maintain the purchasing power of the endowed assets held in perpetuity, as well as to provide additional growth through new gifts.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

9) Related Party Transactions

During the years ended June 30, 2014 and 2013, amounts paid by the University (and reimbursed by the Center) for salaries, benefits, scholarships, fellowships, tuition, and other operating expenses amounted to approximately \$93,998,131 and \$95,633,000 respectively. Of these amounts, approximately \$17,463,015 and \$10,881,000 are included in accounts payable and accrued expenses at June 30, 2014 and 2013, respectively.

The University provides support to the Center to fund general and administrative salaries and benefits, funds to invest in University programs and certain utility, maintenance, and custodial expenses paid by the University for the benefit of the Center. The following amounts were paid by the University for the years ended June 30, 2014 and 2013:

	2014	2013
Salaries and benefits	\$ 1,887,335	\$ 2,094,353
Start-up	500,000	-
Other Investments	1,068,059	770,552
Utilities	68,229	62,613
Maintenance	27,629	22,725
Custodial	36,556	38,938
	\$ 3,587,808	\$ 2,989,181

Effective July 1, 2009, the University established a central pool for the payout of vacation leave when faculty or staff resign or terminate employment. The current effective rate is 0.3225% of eligible payroll.

The Center provided support to KU Endowment for departmental benefit in funding research, graduate studies, distinguished professorships, scholarships and fellowships. Support of \$2,447,136 and \$4,666,020 was provided to KU Endowment during the fiscal years 2014 and 2013 and is included in other program services on the statement of activities.

The Center and the University of Kansas Medical Center Research Institute, Inc. jointly fund the operations of KU Center for Technology Commercialization (KUCTC). Support of \$1,187,375 and \$1,187,375 was provided to KUCTC during each of the fiscal years 2014 and 2013.

10) Operating Leases

Non-cancellable operating leases for facilities used in research projects expire in various years through 2025. These leases generally contain renewal options for periods ranging from one to five years.

Future minimum lease payments at June 30, 2014 were:

2015	\$ 493,701
2016	492,040
2017	453,832
2018	380,904
2019	334,928
Thereafter	1,898,098
	\$ 4,053,503

Rent expense for all operating leases was \$506,126 and \$478,233 for the years ended June 30, 2014 and 2013, respectively.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
Notes to the Financial Statements
June 30, 2014 and 2013

11) Defined Contribution Program

All employees working for the Center are University of Kansas employees. The University has a defined contribution pension program covering all staff employees who have worked at the University for more than one year. Participating employees contribute 5.5% of their salary, and the Center contributes an additional 8.5%. Participating employees are fully vested immediately. The Center contributed \$54,736 and \$42,339 for 2014 and 2013, respectively.

12) Significant Estimates and Concentrations

Accounting principles generally accepted in the United States of America require disclosure of certain significant estimates and current vulnerabilities due to certain concentrations. Those matters include the following:

Grant Revenue

Approximately 58% of all grant revenues was received from three grantors (federal agencies) in 2014.

13) Commitments and Contingencies

The Center has agreed to provide funding to the Bioscience & Technology Business Center, Inc. totaling \$2 million to expand the Bioscience & Technology Business Center. Outstanding amounts under this commitment were \$600,000 as of June 30, 2014.

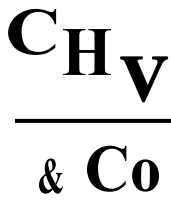
14) Assets Held for Others

In 2000 and 2004, the Center received certain federal grant funds to establish the State of Kansas' Alternative Financing Program and Telework Program (the program). As required by the grant funding agreements, the Center contracted with a community based organization to administer the program. In 2014, the Center terminated the contractual agreements with the community based organization that administered the program. In connection with the termination agreement, the financial assets of the program are to be returned to the Center. During the year ended June 30, 2014, the Center received approximately \$6.9 million. At June 30, 2014, management has estimated that that the Center will receive an additional \$1 million upon the finalization and closeout of the program.

The Center has entered into an agreement with another community based organization to administer the program. In association with this agreement, the Center will maintain certain administrative and fiduciary responsibilities related to certain financial assets of the program. These financial assets primarily consist of investments. According, the amounts of financial assets administered by the Center under the program are also reported as assets held for others. At June 30, 2014, these amounts totaled \$6,939,183.

15) Subsequent Events

The Center has evaluated subsequent events through September 30, 2014 the date the financial statements were available to be issued.



COCHRAN HEAD VICK & CO., P.A.

Certified Public Accountants

1333 Meadowlark Lane
Kansas City, KS 66102
(913) 287-4433
(913) 287-0010 FAX

**Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance
and Other Matters Based on an Audit of Financial Statements Performed in Accordance with
Government Auditing Standards**

To the Board of Trustees
University of Kansas Center for Research, Inc.

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the University of Kansas Center for Research, Inc. (the Center), a component unit of the University of Kansas, which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated September 30, 2014.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Center's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Center's internal control. Accordingly, we do not express an opinion on the effectiveness of the Center's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the Center's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Other Offices

1251 NW Briarcliff Pkwy
Suite 125
Kansas City, MO 64116
(816) 453-7014
(816) 453-7016 FAX

6700 Antioch Rd, Suite 460
Merriam, Kansas 66204
(913) 378-1100
(913) 378-1177 FAX

400 Jules Street
Suite 415
St. Joseph, MO 64501
(816) 364-1118
(816) 364-6144 FAX

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Center's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Center's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Center's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Kansas City, Kansas
September 30, 2014

Cochran Heath Vitek & Co., P.A.



COCHRAN HEAD VICK & CO., P.A.

& Co

Certified Public Accountants

Independent Auditor's Report on Compliance for Each Major Program and on Internal Control Over Compliance Required by OMB Circular A-133

1333 Meadowlark Lane
Kansas City, KS 66102
(913) 287-4433
(913) 287-0010 FAX

To the Board of Trustees
University of Kansas Center for Research, Inc.

Report on Compliance for Each Major Federal Program

We have audited the University of Kansas Center for Research, Inc.'s (the Center), a component unit of the University of Kansas, compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of Center's major federal programs for the year ended June 30, 2014. The Center's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the Center's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Center's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Center's compliance.

Opinion on Each Major Federal Program

In our opinion, the Center complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2014.

Other Offices

1251 NW Briarcliff Pkwy
Suite 125
Kansas City, MO 64116
(816) 453-7014
(816) 453-7016 FAX

6700 Antioch Rd, Suite 460
Merriam, Kansas 66204
(913) 378-1100
(913) 378-1177 FAX

400 Jules Street
Suite 415
St. Joseph, MO 64501
(816) 364-1118
(816) 364-6144 FAX

Report on Internal Control Over Compliance

Management of the Center is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Center's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Center's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Kansas City, Kansas
September 30, 2014

Cochran Head Vick & Co. PA

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
RESEARCH AND DEVELOPMENT CLUSTER			
U.S. Department of Education			
Direct Award			
Fulbright-Hays Doctoral Dissertation Research Abroad Program	84.022A	P022A130019	\$ 33,847
Funds for the Improvement of Postsecondary Education	84.116	P116Z990008	5,000,000
Accommodations and ADA for Post Secondary Students with Disabilities	84.133	H133G090222-11	98,508
Weight Loss by Individuals with Physical Disabilities	84.133	H133G090230-11	(1,495)
The Nexus of Employment, Health and Disability: A Study of Health Status and Quality of Life Among Medicaid Buy-In Participants	84.133	H133G100082 - 12	113,623
Rehabilitation Research and Training Center on Community Living	84.133	H133B110006 - 13	665,865
Assessing Family Employment Awareness Training (FEAT)	84.133	H133G130261	104,799
I-CONNECT PLUS: Enhancing Community Participation for Adolescents and Adults with ASD using Online Instruction, Coaching, and Accessible Self-Management Technologies	84.133	H133A130032	97,704
Rehabilitation Research and Training Center (RRTC) on Measurement and Interdependence in Community Living	84.133	H133B060018-10	151,540
Development and Validation of Online Adaptive Reading Motivation Measures	84.305	R305A110148-14	340,907
Improving Adults Reading Outcomes with Strategic Tutoring and Content Enhancement Routines	84.305	R305B070129	11
A Secondary Analysis of the National Longitudinal Transition Study 2: Examining the Relationships between Expectations, Access and Postsecondary Life Engagement	84.324	R324A100275	31,228
Topic 7-Goal 2: Professional Development that is Systemic, focused on Teacher growth, Incorporates Coaching, Collaboration, cohorts, & increased Knowledge to create Student Success	84.324	R324A090283	32,419
Top 1; Goal 2 - Expanding the Reach of Evidence-Based Interventions for Improving Social-Emotional Outcomes for Infants in Child Care	84.324	R324A100041	248,248
Top 1: Goal 2 - Building Foundations for Self-Determination in Young Children with Disabilities: Developing a Curriculum for Families	84.324	R324A090267	39,735
A Multi-Site Efficacy Trial of the Class-wide Function-related Intervention Teams "CW-FIT": A Research to Practice Agency for Students with and At Risk for EBD	84.324	R324A120344 - 14	729,452
The effects of online decision making support for home visitors using an RTI approach to promoting the language development of at-risk infants and toddlers	84.324	R324A120365 - 14	613,360
Topic 5; Goal 5; Assessing Self-Determination in the Era of Evidence-Based Practices: The Development and Validation of Student and Adult Measures of Self-Determination	84.324	R324A130065	195,876
Exploring the Predictors and Outcomes of Self-Determination for Secondary Students with Disabilities by Analyzing NLTS2 Data	84.324	R324A120411	21,094
Class-wide Function-Based Intervention Teams: A Research to Practice Agenda for Functional Behavior Assessment (CW:FIT)	84.324	R324A070181	22
Early Identification of children with reading disabilities within an RTI framework	84.324	R324A080118	141,151
Top. 1, G2: Children's School Success Curriculum - Plus (CSS+): Supporting All Children's Progress in the General Curriculum	84.324	R324A100239	356,702
CTOP2: Center for Response to Intervention in Early Childhood (CRTIEC)	84.324	R324C080011	1,255,685
Peer Networks Project: Improving Social Communication, Literacy and Adaptive Behaviors for Young Children with ASD	84.324	R324A090091	134,549
High Qualified Unified Early Childhood Educators (HUE) Personnel Preparation, Focus Area A: Serving Infants, Toddlers, and Preschool Age Children with Disabilities	84.325	H325K070102	11,318
The Strategic Writing Model: Secondary Writing Instruction through a Three-Tiered RTI Model	84.326	H326M090003 -12	196,736
The Schoolwide Integrated Framework For Transformation Center (SWIFT)	84.326	H326Y120005 - 13	5,015,792
Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities Model Demonstration	84.326	H326M070005-10	127,956
Kansas State Deaf-Blind Project	84.326	H326C080046-12	45,995
I-Content: Web-based Solutions to Self-management and Support Connections for High School Students with Learning or Emotional/Behavioral Disorders	84.327	H327A100082-11	72,468
Phase II Animated Visual Supports for Social Skills (AViSSS): An Interactive Virtual Experience for Social Skill Development	84.327	H327A110062	131,322
Improving outcomes for young children with hearing impairment and other disabilities: Technology for audiometric assessment	84.327	H327A110049 - 12	113,145
Phase 2: Effectiveness of Mobile EBASS-Classroom	84.327	H327A110052 - 13	321,287

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Development of a Distance Mentorship Program to Improve Services for Children with Deaf-Blindness	84.327	H327A110059-12	117,984
Interactive Field Investigation Guide (iFIG): An Accessible Platform to Provide STEM for All	84.327	H327A110086-2	44,490
Stepping Up: Sustainable Implementation of Innovations for Student Achievement (SIISA)	84.327	H327S120019 - 13	463,371
Pass through Abt Associates, Inc.			
Kansas Project LAUNCH Special Evaluation Study	84.234	Agreement	1,995
Kansas Project LAUNCH Special Evaluation Study-Option Year 3	84.234	40429-2	16,480
Pass through Georgia Institute of Technology			
An adaptive Testing System for Diagnosing Sources of Mathematics Difficulties	84.305	RA614-G1	106,517
Pass through Indiana University			
Joint Attention Mediated Learning Intervention for Toddlers with Autism Spectrum Disorders and their Families	84.324	BL-4240321-UK	183,529
Pass through KS Board of Regents			
KBOR Analysis Tables	84.372	Agreement	3,809
Foresight 2020	84.372	Agreement	3,786
Pass through KS Dept. of Education			
Kansas School Readiness Research Project	84.027	652-11-1713	916
Kansas State Deaf-Blind Project - Professional Development Opportunities for Intervener Training Partnership	84.027	652-13-1778	9,900
Kansas State Personnel Development Grant (SPDG) Program	84.323	652-11-1704	(2,941)
Kansas SPDG Evaluation 2012-17	84.323	652-13-1784	126,121
Kansas SPDG Evaluation 2012-17	84.323	652-14-1800	68,516
Accessibility of Technology-Enhanced Assessments	84.368	652-12-1772	844,397
Career Technology Education Assessment	84.369	652-12-1751	(26,333)
Pass through KS State University, SPA			
Pass through Lehigh University			
Center for Secondary Intervention	84.324	541821-78005	91,287
Pass through Ohio State University			
Language Bases of Skilled Reading Comprehension	84.305	60023887	667,611
Pass through Queens College of CUNY			
Development and Validation of the Supports Intensity Scale for Children	84.324	46374-A	69,992
Pass through Research Triangle Institute			
KU GEAR UP Data Utilization Project	84.334	6-312-0213158	113,319
Pass through SRI International			
Model Demonstration Coordination Center	84.326	51-001287	11,940
Pass through University of Florida			
The Center to Support the Development of Effective Educators to Serve Students with Disabilities	84.325	UF12317	98,465
Pass through University of IL - Urbana-Champaign			
Exploring the Predictors and Outcomes of Self-Determination for Secondary Students with Disabilities Using NLTS2	84.324	2010-07357-01	235
Pass through University of Missouri - Kansas City			
Determining the Efficacy of the Self-Determined Career: Development Model to Improve Employment Outcomes for Adults with Developmental Disabilities	84.133	0043841/00037975	55,817
Determining the Efficacy of the Self-Determined Career Development Model to Improve Employment Outcomes for Adults with Developmental Disabilities	84.133	0053094/00043839	2,609
Pass through University of Montana			
RRTC on the Ecology of Rural Disability	84.133	PG14-25866-03	3,849
Pass through University of Nebraska - Lincoln			
Pass through University of New Hampshire			
Health Disparities Among People with Disabilities	84.133	13-040	2,062
Pass through University of Oregon			
OSEP Technical Assistance Center on Positive Behavioral Interventions and Supports	84.326	223561D	101,929
National Technical Assistance Center on Positive Behavioral Interventions and Supports IV	84.326	224440C	38,937
Pass through University of South Florida			
Technical Assistance Center for Evidence-based Practices to Improve the Social-Emotional Development of Young Children with or at Risk of Disabilities	84.326	5830-1251-00-C Mod 6	23,195
Pass through University of Utah			
Project ASPIRE: Achieving Success in Promoting Readiness for Education and Employment	84.418	10033712	45,392

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Pass through USD 500, Kansas City			
Project STArts: Skillful Thinking in the Arts	84.351	Agreement	110,840
<u>U.S. Department of Homeland Security</u>			
<u>Direct Award</u>			
Increasing use of evidence-supported practices for detecting and treating juvenile fire-setting in established mental health agencies	97.044	EMW-2010-FP-01734	99
Increasing use of evidence-supported practices for detecting and treating juvenile fire-setting in established mental health agencies	97.044	EMW-2011-FP-01327	254,781
<u>U.S. Department of Commerce</u>			
<u>Direct Award</u>			
Drizzle and Cloudiness Transitions in Southeast Pacific Marine Stratocumulus	11.431	NA10OAR4310160	58,001
Collaborative Research: Representing Calving and Iceberg Dynamics in Global Climate	11.431	NA13OAR4310099	262
<u>U.S. Department of Defense</u>			
<u>Direct Award</u>			
Full Wavefield Seismic Imaging Technologies: Active Seismic Imaging (ASI) System	12.000	W912HZ-12-C-0022	822,354
Burst-Mode Synchronization for SOQPSK (SYNC)	12.300	W900KK-11-C-0032	143,237
Improving mesoscale prediction of shallow convection and cloud regime transitions in NRL COAMPS	12.300	N00014-11-1-0518	53,989
Equipment to Support Research on the Impending Spectrum Crisis	12.300	N00014-13-1-0855	440,370
Design and Development of an Ultra-Wideband Microwave Radar for Airborne	12.300	N00173-13-C-2032	348,969
Establishing Optimal Training Intervals for the Marine Corps Martial Arts Program (MCMAP) For Improvement in Physical Performance and Warrior Mindset	12.300	N00014-14-1-0202	43,034
Cooperative Routing for Dynamic Aerial Layer Networks	12.300	FA8750-14-1-0077	25,763
Armored Software	12.300	H98230-13-C-0264	235,815
The Human Geography of Resilience and Change: Land Rights and Political Stability in Latin American Indigenous Societies	12.431	W911NF-13-1-0281	549,908
Optimal Control of Stochastic Systems Driven by Fractional Brownian Motions	12.431	W911NF-10-1-0248	39,355
University of Kansas Research and Educational Support for U.S. Army Programs and Initiatives at Fort Leavenworth, Kansas	12.431	W911NF-10-1-0232	5,654
FY10 Funding for University of Kansas Research and Educational Support for U.S. Army Programs and Initiatives at Fort Leavenworth, Kansas	12.431	W911NF-11-1-0035	732,852
Ground, Environment, and Atmospheric Effects on Long-Range Acoustic Propagation	12.431	W911NF-11-2-0087	62,602
Fundamental Physics of Carbon-based Nanostructures for Infrared and Ultraviolet Detection	12.431	W911NF-12-1-0412	64,824
Stochastic Evolution Equations Driven by Fractional Noises	12.431	W911NF-13-1-0306	52,609
Archaeological Curation Agreement with Tulsa District COE	12.431	W912BV-13-P-0078	3,045
11.1 STIR (3.2 Probability and Statistics) Statistical Inference on Memory Structure of Processes and Its Applications to Information Theory	12.431	W911NF-14-1-0147	9,766
Fabrication and analysis of hybrid nanodevices for biodefense applications	12.431	W911NF-08-1-0303	(969)
A New Mathematical and Computational Framework for BVPs and IVPs in Solids, Fluids, Gases and their Interactions	12.431	W911NF-11-1-0471	78,862
Optimal and Adaptive Control of Stochastic Systems	12.800	FA9550-12-1-0384	90,540
Multidimensional Signal Processing for Sensing & Communications	12.800	FA9550-12-1-0220	155,108
Robotics Challenge: Cognitive Robot for General Missions	12.800	FA8750-12-1-0302	326,897
Radar Networking	12.800	N00173-12-P-0998	21,233
The Development of High-Order Methods for Real World Applications	12.800	FA9550-12-1-0286	165,196
Radar Networking	12.800	N00173-13-P-3930	11,865
Problems in Algebraic and Geometric Combinatorics	12.901	H98230-12-1-0274	9,950
Pass through Ayuda Management Corporation			
Kansas Biological Survey Response to Beale Air Force Base, California Environmental Compliance Support	12.615	KUCR-001	246
Pass through Booz, Allen, Hamilton, Inc.			
Master Agreement with BAH	12.800	99736XSB95	92,915
Pass through Brigham Young University			
Preamble Assisted Equalization for Aeronautical Telemetry (PAQ)	12.910	13-0360	77,894
Pass through Colorado State University			
Governing Processes and Solutions for Large Dilute Plumes	12.300	G-7228-1	8,620

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Pass through Institute for International Ed			
Project GO Center:Critical Language Skill and Regional Expertise for 21st Century Officers	12.357	2012-GO-UKS	87,025
Project GO Center:Critical Language Skill and Regional Expertise for 21st Century Officers	12.357	2013-GO-KU	111,460
Pass through Institute for the Study of Learning & Ex			
Autonomous Discovery of Object Properties: Robots That Create Simple Machines	12.300	13431	53,268
Pass through Iowa State University			
Scalable High-order Methods for Nonhydrostatic Mesoscale Atmospheric Modeling Using Adaptive Unstructured Meshes	12.300	421-20-49	36,042
Pass through Oak Ridge National Laboratory			
Toward Computer-Aided Design of Synthetic CWA Receptors (Topic P)	12.351	4000101360	214,141
Pass through Pinnacle Technology Inc.			
Applications and Methods for Continuous Monitoring of Physiological Chemist	12.910	Pin 2013-164KU	311
Pass through Quasonix, LLC			
Miniaturized, Power Efficient C-band Telemetry	12.002	14931	40,451
Pass through Science Applications Int'l Corp			
Upgrading the Operational Submarine Mental Health Screening Test (SUBSCREEN) to	12.800	P010125355	(3,973)
Pass through State University of New York			
Dynamic Spectrum Access in the Low Signal-to-Noise (SNR) Regime	12.800	64040	19,566
Pass through The National Academies			
Applications and Methods for Continuous Monitoring of Physiological Chemist	12.910	Pin 2012-162KU	(3,140)
Pass through USD 207 - Fort Leavenworth			
Cyber T.E.A.M.S.	12.556	none	37,101
U.S. Department of Energy			
Direct Award			
Assessing regional scale variability in extreme value statistics under altered climate scenarios	81.049	DE-SC0005359	124,803
Collaborative Research: Cloudiness Transitions within Shallow Marine Clouds Near the Azores	81.049	DE-SC0006736	95,146
Solvation Dynamics in Nanoconfined and Interfacial Liquids	81.049	DE-FG02-05ER15708	(2,241)
Hunt for New Physics at the Large Hadron Collider	81.049	DE-SC0007863	53,277
Research in Theoretical Particle Physics	81.049	DE-SC0010382	67,496
Targeting DOE-Relevant Ions with Supramolecular Strategies	81.049	DE-SC0010555	62,908
Collaborative Research: Polymeric Multiferroics	81.049	DE-SC0010385	11,273
Atomic and Molecular Physics in Strong Fields	81.049	DE-FG02-04ER15504	99,625
Research in Heavy-Ion Nuclear Physics at CMS	81.049	DE-FG02-96ER40981	312,565
Understanding Nanoscale Confinement Effects in Solvent-Driven Chemical Reactions	81.049	DE-FG02-05ER15708	100,861
Studies of HED Plasmas with Self-Generated Magnetic Fields (f.k.a. Properties and Nonlinear Dynamics of Weibel Turbulence)	81.049	DE-FG02-07ER54940	71,508
"Feedstock to Tailpipe Initiative": Kansas Biofuels Production, Testing and Certification Laboratory	81.087	DE-EE0000408	4,881
Prototype and testing a new volumetric curvature tool	81.089	DE-FE0004566	200,251
Small Scale Field Test Demonstrating CO2 Sequestration in Arbuckle Saline Aquifer and by CO2-EOR at Wellington Field, Sumner County, Kansas	81.089	DE-FE0006821	97,629
ARRA: Modeling CO2 Sequestration in Saline Aquifer and Depleted Oil Reservoir to Evaluate Regional CO2 Sequestration Potential of Ozark Plateau Aquifer System, South-Central Kansas	81.134	DE-FE0002056	1,105,725
Pass through Adventium Labs			
Vetting Commodity IT Software and Firmware (VET)	12.910	1023-KU	27,972
Pass through Arizona Geological Survey			
ARRA: Geothermal Technologies Program: Geothermal Data Development, Collection and Maintenance	81.128	KS-EE002850	18,799
Pass through California State University			
ARRA: Verification of Geothermal Tracer Methods in Highly Constrained Field Experiments	81.132	SC07363910	(106)
Pass through Fermi National Accelerator Lab			
US CMS Group at University of Kansas for Maintenance and Operations Activities Related to the US CMS RP Common Operations Subsystems for FY 2009	81.121	573686	8,640
Pass through National Renewable Energy Laboratory			
IChaRGE - Interdisciplinary Challenge for Recharging Using Green Energy	81.087	AFC-3-23003-06	19,535
Pass through Pacific Northwest National Laboratories			
Design, construction, and implementation of novel biofuel production capabilities in filamentous fungi	81.087	207062	243,691

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Pass through Rsch Partnership to Secure Energy for Am			
Field Demonstration of Chemical Flooding of the Trembley Oilfield, Reno County, Kansas	81.089	11123-28	296,135
Characterization of Potential Sites for Near Miscible CO2 Applications to Improve Oil Recovery in Arbuckle Reservoirs	81.11	09123-18	383,469
Pass through TVN Systems Inc.			
Hydrogen-Bromine Electrical Energy Storage System	81.135	14492	15,206
HBr-based flow battery electrocatalyst synthesis and shunt current protection	81.135	15356	116,085
Pass through University of Calif. Los Angeles			
Molecularly Assembled Material Architectures for Solar Energy Production, Storage and Carbon Capture	81.049	0190 G MA563	177,082
Pass through University of Maryland-College Park			
Electric-Light-Matter-Spin Interaction of Self-Assembled Molecular Spintron	81.049	Z711201	51,079
Manganese-Based Permanent Magnets with 40MGOe3 at 200C	81.135	Z713204	43,813
Pass through University of Tennessee, Knoxville			
Collective Motions in Soft Materials and Biomolecules	81.049	A09-0361-S003-A01	27,915
Pass through University of Texas-Austin			
Novel Coupled thermochronometric and geochemical investigation of blind geothermal resources in fault-controlled dilational corners, Dixie Valley, NV	81.087	UTA12-000336	56,096
ARRA: Detachment Faulting and Geothermal Resources - An Innovative Integrated Geological and Geophysical Investigation of Pearl Hot Spring, NV	81.087	UTA13-000661	63,333
Pass through URS Corporation			
Enhancement of National Carbon Sequestration Database and Geographic Information System (NatCarb)	81.089	RES1201829 / 003	22,474
Enhancement of National Carbon Sequestration Database and Geographic Information System (NatCarb)	81.089	RES1402852	28,881
Pass through Vista Clara, Inc.			
Integrated use of surface and subsurface NMR for measuring and mapping saturated hydraulic conductivity in three dimensions	81.049	Phase 2 DOE	109,540
U.S. Department of Interior			
Direct Award			
Asian Carp eDNA in the Osage River Basin	15.608	F12AP01204	6,385
Geophysical Methods Development for Subsurface Characterization for Various Near-surface Settings	15.808	G12AC20017	341,729
Serving Biodiversity Data for U.S. Institutions	15.808	G08AC00001	1,557
Geologic and Geomorphic Mapping in the Middle Kansas River Valley	15.810	G12AC20162	(1,071)
Geologic Mapping in Kansas for FY12	15.810	G12AC20279	37,521
Mapping Late Pleistocene and Holocene Geology and Geomorphology of the Kansas River Valley 4): An Undergraduate EDMAP Experience	15.810	G13AC00104	29,558
Geologic Mapping in Kansas for FY13	15.810	G13AC00168	89,764
Kansas Biological Survey FY 2012 PAD-US State Grants	15.811	G12AC20447	5,966
Kansas Oil and Gas Well Geologic Data and Records Preservation	15.814	G12AP20144	15,448
Kansas Oil and Gas Well Geologic Data, Records, and Samples Preservation, FY2013	15.814	G13AP00079	30,410
Pass through AmericaView Inc.			
StateView Program Development and Operations for the State of Kansas	15.808	AV08-KS01	13,663
State View Program Development and Operations for the State of Kansas	15.815	AV13-KS01	10,539
Pass through KS Dept. of Health & Environment			
Floristic Quality Assessment of Sites in Southeast Kansas	15.658	Agreement	20,513
Pass through KS Dept. of Wildlife Parks & Tourism			
Supporting Kansas Department of Wildlife & Parks Natural Resource Management Objectives Using Geographic Information Systems (GIS) & Remote Sensing Technologies	15.611	Agreement	4,204
Natural Resource Mapping Technology	15.611	Agreement	2,254
Supporting Kansas Department of Wildlife & Parks Natural Resource Management Objectives Using Geographic Information Systems (GIS) & Remote Sensing Technologies	15.611	Agreement	81,697
Environmental DNA (eDNA) sampling as a non-invasive monitoring tool for threatened and endangered species: Experimental studies using Topeka Shiners (<i>Notropis topeka</i>)	15.615	Agreement	14,476
Habitat Associations and Ecological Niche Modeling in Eastern Forest Species Management Of Protected And Sensitive Species Data For Environmental Review And State Wildlife Conservation Planning	15.634	T-30-R-1	50,245
Recovery plan for the redbelly and smooth earth snakes	15.634	Agreement	57,836
Natural Resource Mapping Technology	15.634	Agreement	37,899
	15.634	Agreement	31,654

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Pass through KS State University, SPA			
Aquifer Storage and Recovery in Near-Surface Aquifers: Development of a New Recharge Approach Using Small-Diameter, Low-Cost Wells	15.805	S12113.01	81,346
Investigation of Recharge to the High Plains Aquifer, Northwestern Kansas	15.805	S12262.01	14,716
Getting the information modelers need: Extracting hydrostratigraphic information from drillers' logs	15.805	S12263.02	13,531
An Assessment of the Lithostratigraphy of Holocene Valley Fills in the Valleys of Streams in the Watersheds of Banner Creek Lake, Centralia Lake, and Atchison County Lake	15.805	S12202	(25)
Pass through KS Water Resources Institute			
Assessing Natural Variability in Groundwater/Surface Water Interactions	15.805	S14204	738
U.S. Department of Justice			
Direct Award			
DNA forensics using single molecule technology: from DNA recovery and extraction to genotyping degraded and trace evidence without PCR	16.560	2011-DN-BX-K542	180,413
Exiting the Commercial Sex Trade: An Exploratory Study	16.562	2013-IJ-CX-0014	22,662
Environmental Protection Agency			
Direct Award			
Biostabilization of Rammed Earth for Reduction of Waste and CO2 Emissions	66.516	83549701	5,738
Pass through Blue Earth, LLC			
Wetland Research in the Neosho River Basin	66.461	Agreement	619
Pass through KS Dept. of Health & Environment			
2013-2014 National River and Streams Assessment (NRSA)	66.436	2013-NRSA1	27,294
Pass through KS Water Office			
Post-Bank Stabilization Fish Monitoring on Neosho River	66.458	13-131	19,307
Pre- and Post-bank stabilization monitoring on the Cottonwood River - a fish survey	66.458	14-111	6,486
Pass through Tetra Tech Inc.			
Work Order 03 - Training for assessment of wetland sites	66.462	8Work Order No.03	373
Pass through Water Research Foundation			
Evaluation of Lead Service Line Lining and Coating Technologies	66.509	04351	101,121
U.S. Department of Health and Human Services			
Direct Award			
CFD Research Support for NIOSH-ESTCP Interagency Agreement on Military Aircraft Painting Ventilation	93.283	200-2013-M-56348	18,154
Innate Immune Receptors and Adjuvant Discovery	93.000	HHSN272200900033C	1,808,347
Translating Effective Maltreatment Intervention into the Community	93.061	R18CE001705	6,570
Im/Miscible Adhesive/Dentin Interface:Structure/Mechanic	93.121	5R01DE014392-10Rev	(158)
Integrative colloidal gels for cranial defect repair	93.121	5R01DE022472-03	364,211
Proton Sponge Adhesives, Interfacial Milieu: Molecular Structure-Mechanics	93.121	5R01DE022054-04	450,438
Morphosyntactic Abilities of SLI Proband	93.173	5R01DC001803-19	587,693
Twins and Singletons with Specific Language Impairments	93.173	5R01DC005226-13	395,370
Interactive book reading to accelerate word learning by children with SLI	93.173	1R01DC012824-02	280,884
Peer-Mediated AAC Intervention for Children with Autism: Effects on Communication	93.173	5R01DC0125630-02	226,153
Biobehavioral Sciences of Communication Disorders	93.173	2P30DC005803-13	83,000
Investigating output modality for a brain-computer interface for communication	93.173	5R03DC011304-03	131,918
Biobehavioral Sciences of Communication Disorders	93.173	5P30DC005803-12	158,848
Sensorimotor Control of the Human Orafacial System	93.173	5R01DC003311-10	133,408
Twins and Singletons with Specific Language Impairment	93.173	5 R01 DC005226-11	12,347
Biobehavioral Sciences of Communication Disorders	93.173	5P30DC005803-10	(10,493)
Word Learning in Children: Normal Development and Language Impairment	93.173	5R01DC008095-05 REV	4,776
ARRA: Communication Success and AAC: A Model of Symbol Acquisition	93.173	5 R01 DC007684-05	(3,227)
Timing and neural bases of complex word recognition: electrophysiological studies	93.173	5R03DC009494-03 REV	23,579
Mechanisms of 5HT2A Receptor Desensitization	93.242	R01MH068612-10	146,922
Testing Determinants of Resilience: Mental Health, Child Maltreatment and Adaptive Behavior Outcomes	93.242	5R01MH079252-05	277,946
Investigation of neoclerodanes as Novel Opioid Ligands	93.279	5R01DA018151-09	351,968
Peptidic Ligands for Kappa Opioid Receptors	93.279	5R01DA018832-08	255,062
Development of novel opioid peptides for cocaine abuse	93.279	5R01DA032928-03	763,856
Carbamathione, A Novel Disulfiram Metabolite for Cocaine Dependence	93.279	5R21DA021727-02 REV	(1,968)
Peptidic Kappa Opioid Receptor Ligands as Potential Treatments for Drug Addiction	93.279	5 R01 DA023924-05	80,884

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Laser-enhanced particle-free HIFU heating	93.286	5R03EB015077-02	56,597
MRI-Based Modeling to Evaluate Surgical Efficacy for Reduced OA Risk	93.286	5R01EB008709-04	174,310
Implementing the Health for All Model with the Latino Community of Kansas City	93.307	5R24MD002780-05 Rev	502,010
Pilot Scale Libraries Inspired by Natural Products	93.310	5P41GM089164-03	2,324
Development and Evaluation of Purine and Coumarin Based Hsp90 Inhibitors	93.310	5U01CA120458-08	349,554
University of Kansas Specialized Chemistry Center	93.310	5U54HG005031-06	1,432,048
A resource for the genetic analysis of complex traits	93.351	8R01OD010974-05	497,437
Protein Structure and Function	93.389	8P20GM103420-10	159,158
Probing Diversity Space with Novel Pilot Scale Libraries	93.390	5P41GM076302-06 REVI	5,893
Synthetic Cell Surface Receptors for Anticancer Drug Delivery	93.395	5R01CA083831-12	58,197
Hsp90 Inhibitors	93.395	5U01CA109265-08	206,924
ARRA: Tumor-targeted silencing of Bcl-2/Bcl-xL by the self-assembled siRNA nanovectors	93.395	7R01CA121830-06	56,505
Development of Hsp90 inhibitors for the treatment of cancer	93.395	5R01CA167079-02	349,049
Biomaterials for treatment of head and neck cancer	93.395	1R01CA173292-02	191,227
Project Engage: Building Parents' Capacity to Improve Child Language Outcomes	93.615	6 R62MC24946-02-01	295,122
Kansas Intensive Permanency Project (KIPP)	93.648	90CT0152-04-02	3,158,555
Kansas Partnership for Educating Kids in Care	93.652	90CO1069-01-01	8,951
ARRA: Development and Evaluation of Purine and Coumarin Based Hsp90 Inhibitors			(64,665)
ARRA: KU Bioinformatics Computing Facility Core Renovation and Improvement	93.701	5U01CA120458-5	15,808
Mining the Aspergillus nidulans Secondary Metabolome	93.701	1G20RR031125-01 REV	208,259
A Randomized Trial of Recommendations for Exercise to Prevent Weight Regain	93.837	5P01GM084077-06	540,916
Dynamic Lumbar-Pelvic Coordination in the Low Back During Lifting	93.846	5R01HL111842-03	59,193
Peptide Enabled Self Assembled Reconfigurable Implant Coatings	93.846	5R03AR061597-03	18,921
Gradient-based strategy for osteochondral regeneration	93.846	R21AR062249-03	292,782
Fibromyalgia and Sleep Treatments	93.846	5R01AR056347-05	9,863
Inflammation, PXR Modification and Drug Disposition	93.847	5R03AR053266-03R	332,248
Chaperones in Diabetic Peripheral Neuropathy	93.847	1R01DK090558-04	233,333
Resistance Training Energy Balance and Weight Management	93.847	1R01DK095911-02	278,028
Mechanism of Hypoxia Inducible Factor 1 in Cerebral Ischemia	93.853	5R01DK080832-04	128,936
Using RNAseq to identify Hox transcriptional targets in neuronal migration	93.853	5R01NS058807-06	57,696
Cytoskeletal Signaling and Axon Guidance	93.853	5R21NS070417-02	192,781
Modulating the BBB to Improve Drug Delivery to the Brain	93.853	5R01NS040945-12	280,637
Chaperone Therapeutics for the Treatment of DPN	93.853	5R01NS075374-04	298,356
Microdialysis Studies of Seizure-Induced Oxidative Stress	93.853	5R01NS075311-03	343,425
Methods for Measuring Sub-second Neurotransmitter Interactions	93.853	5R01NS066466-05	101,530
Role of LRG-47 (Irgm1) in EAE	93.853	5R21NS077485-02	414,897
Analytical Methods for Investigating Peptide Transport	93.853	5R01NS065482-05	145,020
Caveolin-1 and Altered Neuregulinism in Diabetic Neuropathy	93.853	5R01NS042929-09	162,913
Regulation of Virulence Gene Expression in Chlamydia	93.855	5R01NS054847-05 REV	323,718
Structure-function analyses of siderophore biosynthetic enzymes	93.855	5R01AI079083-05	75,480
Characterization of PTMs on Env to support HIV vaccine development	93.855	5K02AI093675-04	409,599
Targeted nanoscale antigen arrays for treating autoimmune diseases	93.855	5R01AI094797-02	267,558
Biomechanical and Computational Molecular Design of Microbicide Delivery Systems	93.855	y5R56AI091996-01A1	458,241
Development and Validation of Conditional Gene Expression Systems in Chlamydia	93.855	5R33AI082697-05	194,807
NMR studies of bacterial needle and tip proteins	93.855	1R21 AI03711-01	72,513
The Regulation of Autolysis in Enterococcus Faecalis	93.855	2R01AI074856-06	51,337
Viral and host responses to HSV infection	93.855	5R01AI077782-06	(1,146)
NMR studies of bacterial needle and tip proteins	93.855	5R01AI072357-04Rev	105,339
Preclinical development of DS-96, a novel alkylpolyamine endotoxin sequestrant	93.855	5R01AI074856-05	111,407
Salicylate production in siderophore biosynthesis	93.855	U01AI077947-05	142,927
Center for Excellence in Chemical Methodologies and Library Development	93.859	5R01AI077725-04	1,070,422
Integrated Resource for Protein Recognition Studies	93.859	5P50GM069663-10	49,328
Genome packaging of DNA viruses	93.859	5R01GM074255-08R	269,465
Reactive Metabolites in Drug Toxicity	93.859	5R01GM090010-05	10,876
Mechanisms and Energetics of Transmembrane-induced Signaling of Cytokine	93.859	5R01GM021784-34	339,569
A Genomic Analysis of Sexual Trait Various Within and Between Species	93.859	5R01GM092950-05	251,987
Structural Basis of Cytochrome P450 Activity	93.859	5R01 GM085260-04	291,985
Identifying inhibitors of protein interactions using pocket optimization	93.859	5R01GM076343-09	277,485
Molecular Analysis of Disease Pathways	93.859	5R01GM099959-03	2,233,571
Structural and Functional Properties of cylophilin D and Abeta interaction	93.859	5P20GM103638-02	376,633
University of Kansas/Haskell Indian Nations University IRACDA Project	93.859	5R01GM095355-05	360,156
		5K12GM063651-12	

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
The Role of Glycosylation in Regulating Immune Responses	93.859	5R01GM090080-05	332,601
Human Cytochrome P450 4F Enzymes and Drug Interactions	93.859	7R01GM089994-03	282,721
Structure and Function of Cytochrome P450 17A1	93.859	5R01GM102505-03	307,629
Integrated Resource for Protein Recognition Studies	93.859	2R01GM074255-09A1	103,212
Application of solution NMR to (human) membrane cytochrome P450 17A1	93.859	1F32GM103069-02	50,891
Development of a rapid glycosylation profiling system using mass spectrometry data	93.859	8R01GM103547-04	270,677
Center for Cancer Experimental Therapeutics	93.859	5P30GM103495-04	1,301,884
Fiber Laser Excitation CARS Microscopy with Auto-Synchronized Chirped Femtosecond Pulses	93.859	5R21GM103478-03	125,179
Development of a rapid glycosylation profiling system using mass spectrometry data	93.859	R01GM103547	4,207
A Genomic Analysis of Complex Trait Variation in Mimulus	93.859	5R01GM073990-07	379,277
Methods for Bioactive Compound Synthesis	93.859	5R01GM077309-06	254,934
Mechanism and inhibition of collagen prolyl-4 hydroxylases	93.859	5 R01 GM079446-05	106
Regulation and function of PIASy mediated mitotic SUMOylation in vertebrates	93.859	5R01GM080278-05	665
A Web-Based Professional Development Program for Child Care Providers	93.865	5R21HD062874-02	44,476
A Randomized Trial of a School-based Mentoring Program for Aggressive Children	93.865	5F32HD066833-03	9,394
Kansas Intellectual and Developmental Disabilities Research Center (KIDDRC)	93.865	5P30HD002528-47	1,427,267
Demographic and Educational Effects on Long-Term Earnings	93.865	1R03HD073464-01A1	35,253
Interactions of MAO A with vulnerability factors for aggression	93.865	7R21HD070611-03	147,995
Visual Attention, Joint Attention and Emergent Language in Infants	93.865	5K99HD075886-01	108,007
Molecular determinants of retinoid metabolism in embryonic tissues	93.865	1R01HD077260-01A1	18,197
The CCS: A Treatment Outcome Measure for Individuals with Severe ID	93.865	1R01HD076903-01	221,549
Communication of People with Mental Retardation	93.865	5P01HD018955-25R	25,523
A Randomized Exercise Trial for Wheelchair Users	93.865	5R01HD048628-05Rev	(1,549)
Recombinative Generalization of Within-syllable Units in MR	93.865	5 R01HD048528-05	3,027
Early Prevention of Aberrant Behavior in Neurodevelopmental Disorders in Peru	93.865	5R21HD060500-02	522
Economic Explanations for Gender Differences in Biomedical Careers	93.866	5R01AG036820-04	209,605
Abeta degrading enzyme and mitochondrial function	93.866	5R21AG040011-02	216,728
Cellular Cofactors, Neuronal Stress & Rescue, Aging & Alzheimer Disease	93.866	7P01AG017490-11	158,455
Role of Cyclophilin D in Abeta-Induced Synaptic Injury	93.866	5R37AG037319-05S1	467,936
Race/Ethnic and Sex Differences in Health Behavior Patterns in the United States	93.866	7R03AG033331-03	28,759
Novel Hsp90 Inhibitors to Reduce Misfolded Proteins in Alzheimer's Disease	93.866	5U1AG031106-04	1,105
Reactive Oxygen Species and Aging	93.866	5P01AG012993-15	579,679
Epidemiology and Development of Alzheimer's Disease (EDAD)	93.989	1R21TW009665-02	114,080
Pass through Association of University Centers on Disability			
Prevalence of Development Disabilities Among Children with Epilepsy in Rural Populations	93.184	432	43,626
Pass through Battelle Memorial Institute			
Studying Community Programs to Reduce Childhood Obesity	93.000	236802	(5,841)
Studying Community Programs to Reduce Childhood Obesity	93.000	236802	228,505
Pass through Boston University			
Modification of cardiovascular proteins by metabolic disease	93.000	4500001386	79,964
Pass through Brigham & Women's Hospital Research Administration			
Biochemical and in vivo determinants of tau neurotoxicity	93.853	108506	259,406
Pass through Chapel Hill Training Outreach Project In			
PFS Spanish Validation Study	93.004	14050	7,073
Pass through ELLENSON INTEGRATION ENTERPRISES INC.			
Development of a new approach to screening for language impairments	93.173	15854	44,918
Pass through Georgia Regents University Augusta			
Coupling Pharmacogenetics and RNA-Seq to Identify Hsp70-dependent Gene Networks	93.847	25034-42	38,385
Pass through Greeley County Health Services			
Evaluation of the Western Kansas Frontier Information Network	93.912	13072	4,676
Pass through Indiana University -Purdue Univ. Indianapolis			
A Pilot Test of CommonGround Based Shared Decision-Making	93.242	IN4694750UK	70,341
Pass through Inframat Corporation			
SBIR/STTR Phase I	93.853	Agreement	(12,636)
Pass through Iowa, State of			
Iowa Judicial Branch Regional Partnership Grant	93.087	14758	13,180
Pass through Kansas Children's Cabinet and Trust Fund			
ECAC: Early Childhood Advisory Council	93.701	37022	435,192
Pass through Kansas Children's Service League			
Adolescent Family Life Demonstration Project	93.995	Agreement	(2,665)

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Pass through Kennedy Krieger Institute			
Translational Analyses of Chronic Aberrant Behavior Across the Life Span - Project 1	93.865	615.271E	57,936
Transitional Analyses of Chronic Aberrant Behavior Across the Life Span - Project 3	93.865	915.271E	43,233
Pass through KS Dept. for Aging and Disability Service			
Title XIX - Adult Mental Health	93.242	Agreement	1,930,270
Kansas Institute for Positive Behavior Supports	93.789	KDHE2014-059	287,238
Pass through KS Dept. for Children and Families			
Title XIX-Children's Mental Health	93.242	Agreement	177,778
Title XIX-Adult Mental Health	93.778	Agreement	193,388
Pass through KS Dept. of Health & Environment			
Integrated Community Systems for Youth with Special Health Care Needs	93.110	Agreement	(24)
The Kansas Project LAUNCH Initiative - Project Management	93.243	Agreement	94,515
Kansas Project LAUNCH (evaluation)	93.243	Agreement	55,069
Kansas Maternal, Infant and Early Childhood Home Visiting Program	93.505	Agreement	38,484
KU-CPPR Kansas MIECHV Evaluation	93.505	21720	171,187
Pass through KS State University, SPA			
The function of serpin-2 in mosquito immunity and physiology	93.855	S11224.03	23,720
Norovirus 3CL Protease-Based Anti-norovirus Therapeutics	93.855	S14158	3,796
Pass through KS Tech. Enterprise Corp			
Protein Structure and Function	93.389	KTEC match	(3)
Characterization, Stabilization and Formulation of Virus Like Particle (VLP) Based Monovalent and Multivalent Equine Encephalitis Virus (EEV) Vaccines	93.395	12XS502	786,610
Pass through Matera Inc.			
Si-ROMP Hybrid Reagent/Scavengers for High Throughput Chemistry	93.859	12703	155,919
Pass through National Institute for Pharm Tech & Educ			
Physicochemical and biological evaluations of different IgG1 Fc glycoforms as a model for biosimilar comparability analysis	93.103	NIPTE-U01-KS-2014-00	3,116
Pass through Northeastern University			
Prosody in Congenital and Acquired Dysarthria	93.173	500329-78050	3,532
Pass through Ohio State University			
Antileishmanial Lead Optimization of Quinazolines	93.855	60033736	72,350
Pass through OK Dept. of Mental Health & Substance Abuse			
Evaluation of the Oklahoma Partnership Initiative, Phase 2 2012-2017 Project	93.087	PO 4529044103	32,119
Oklahoma Partnership Initiative, Phase 2: Solution-Focused Brief Therapy Project Evaluation	93.087	PO 4529044080	7,812
Oklahoma Partnership Initiative Local Evaluation FY 2013	93.087	PO4529044333	6,703
Evaluation of the Oklahoma Partnership Initiative, Phase 2 2012-2017 Project	93.087	4529046028	26,928
Evaluation of Tulsa County Family Drug Court	93.243	PO 4529042725	1,746
Evaluation of Tulsa County Family Drug Court	93.243	4529045647	52,933
Pass through Oregon Research Institute			
Web-based Parenting Intervention for Mothers of Infants At-Risk for Maltreatment	93.865	Agreement	143,964
Pass through Perception Research Systems, Inc.			
Simplifying fMRI Integration in the Cognitive Neurosciences	93.242	15351	44,445
Pass through Pinnacle Technology Inc.			
A wireless, real-time, multiple biosensor monitoring system for alcohol-related neurochemical changes	93.279	Pin 2010-154KU	78
Development of a Nicotine Biosensor	93.279	Pin 2012-161KU	1,046
Development of a Nicotine Biosensor	93.279	Pin 2013-165KU	96,253
A Tissue-Implantable Microbiosensor	93.853	Pin 2012-160KU	1,092
Pass through Purdue University			
Protein Aggregation in Amorphous Solids	93.859	4102-31906	(5,813)
Pass through SCRIPPS			
Novel Probes of the Kappa Opioid Receptor: Chemistry, Pharmacology, and Biology	93.279	5-20495	212,885
Novel Probes of the Kappa Opioid Receptor: Chemistry, Pharmacology, and Biology	93.279	PO No. 5-20610	7,393
Pass through Soligenix, Inc.			
Development of stabilized adjuvanted vaccines for biodefense	93.855	Agreement	113,310
Pass through Tulane University			
Pediatric HIV/Aids Cohort Study (PHACS)	93.865	TUL-HSC-441-13/14	18,922
Pass through University of Alabama-Tuscaloosa			
Natural disaster effects on aggressive children and their caretakers: Outcomes across time	93.865	UA14-016	3,315

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Pass through University of Calif. Los Angeles UCLA Healthy-by-Default REACH Project	93.738	1916 G RA239	82,410
Pass through University of Chicago Membrane Protein Structural Dynamics Consortium	93.859	FP043359	153,933
Pass through University of Colorado at Denver Oklahoma Partnership Initiative Phase Two Statistical and Data Collection Work	93.087	37129A-01-00	1,884
Pass through University of Denver Colorado Seminary A Multi-Scale FE Musculoskeletal Modeling Framework	93.286	SC37004A-01-00	41,447
Pass through University of Illinois Regional Career Development Conference at the Chemistry-Biology Interface	93.859	2012-05394-04(AA935)	7,114
Pass through University of Kansas Cancer Center NCI Cancer Center Support Grant	93.397	QJ85912A-Aube	34,905
NCI Cancer Center Support Grant	93.397	QJ85912A-Neufeld	11,980
Pass through University of KS Med Ctr. Research Institute Annual Conference on Development of Robust Experimental Assay Methods (aDREAM)	93.310	QS850750	49,164
Therapeutic use of Finasteride for levodopa-induced dyskinesias	93.350	QB85442E	20,000
Binaural speech perception with auditory prostheses	93.350	QB85442C	20,000
Pre-birth Assessment of Language Ability: a Fetal MEG Study	93.350	QB85442K	4,113
Web-based Smoking Cessation Program for Tribal College Students	93.393	QC861260-Little	9,434
Web-based Smoking Cessation Program for Tribal College Students	93.393	QC861260-J. Lee	1,081
Web-based Smoking Cessation Program for Tribal College Students	93.393	QC861260	1,694
NCI Cancer Center Support Grant	93.397	QJ85911C	205
NCI Cancer Center Support Grant	93.397	QJ85911A	(119)
NCI Cancer Center Support Grant	93.397	QJ85911A	11,128
Biomaterials for treatment of head and neck cancer	93.397	QJ85911K	4,177
NCI Cancer Center Support Grant- Core C Lead Development Optimization	93.397	QJ85911C-A.Roy	429
NCI Cancer Center Support Grant - Core C Lead Development Optimization	93.397	QJ85912C- Baltezor	49,937
NCI Cancer Center Support Grant- Core C Lead Development Optimization	93.397	QJ85912C- A.Roy	8,530
Project EAGLE Early Head Start Expansion	93.600	QS814207	2,897
Project EAGLE Early Head Start Expansion	93.600	QS814208	144,738
ARRA: A new probe for the 500 MHz NMR	93.701	QH846890	6,350
Study of Bone Marrow Failure Caused by B19 Virus Infection	93.837	QQ854550	8,231
Neuroimaging Studies of Reward, Impulsivity and Adherence to an Exercise Program	93.847	QS85015A	79,265
Weight Loss and Maintenance for Individuals with IDD	93.847	QD852900 - Mod #2	98,746
Physical Activity and Academic Achievement (A+PAAC)	93.847	QD852890	78,461
Equivalent Weight Loss for Phone and Clinic Weight Management Programs	93.847	QD852870 - Mod#1	(887)
A virtual reality intervention (Second Life) to improve weight maintenance	93.847	QS859810 Mod 1	24,145
Cortical Control of Hindlimb Muscles in Primates	93.853	QC846350 Mod#4	1,839
Identification and Characterization of inhibitors for hantavirus replication	93.855	QM855050	90,698
K-INBRE Bioinformatics Core Facility	93.859	QH846880	32,687
microRNA Scaffolds for increased miRNA processing efficiency in cancer cells	93.859	QH846880	2,869
Nanovectors targeting pancreatic cancer stem cells	93.859	QH846880	4,360
Kansas IDeA Network of Biomedical Research Excellence (K-INBRE)	93.859	QH846880	2,059
Kansas IDeA Network of Biomedical Research Excellence	93.859	QH846880	281
Targeted nanoscale antigen arrays for treating autoimmune diseases	93.859	QH846880	155
Kansas IDeA Network of Biomedical Research Excellence - UCO-Outstanding Mentor	93.859	QH846880	(85)
HSV-1-mediated proteolysis of cellular targets	93.859	QL859680	37,071
Post-Doctoral Award, Dr. Xiaqing Wu	93.859	QH846890	32,500
Inhibitors of AraC family virulence activators in Enterotoxigenic E. coli and Shigella	93.859	QL859684	51,202
K-INBRE Bioinformatics Core Facility	93.859	QW864600	8,553
KINBRE STAR Trainee Program	93.859	QH846890	10,588
K-INBRE Bioinformatics Core Facility	93.859	QH846890	184,679
Kansas IDeA Network of Biomedical Research Excellence - UCO-Outstanding Mentor	93.859	QH846890 Mod #1	12,871
Kansas IDeA Network of Biomedical Research Excellence	93.859	QH846890 Mod #1	38,470
Undergraduate Support Core/Summer Scholar Award (KINBRE); Student-Ryan Xiao	93.859	QH846890 Mod #1	20,000
KINBRE Mentor-Azuma	93.859	QH846890	10,950
Functional analysis of Ewing sarcoma proteins EWS/FLI1 and EWS	93.859	QH846890	100,613
HSV-1-mediated proteolysis of cellular targets	93.859	QL859684	2,539
DHA Supplementation and Pregnancy Outcomes	93.865	QC816711	46,394
Mito Genomics Metabolism: Core G	93.866	QS85522G	90,464
University of Kansas Alzheimer's Disease Core Center	93.866	QS85522B	11,061
Targeting Aβ-ABAD interaction as a potential therapeutic strategy for Alzheimer's disease	93.866	QS85523J	19,790

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Mito Genomics Metabolism: Core G	93.866	QS85523G	140,835
University of Kansas Alzheimer's Disease Core Center	93.866	QS85523B	23,506
Neighborhood Walkability and Sedentary Behavior in Alzheimer's Disease	93.866	QS85523J	24,893
Pass through University of KS Medical Center			
Project EAGLE: Connections	93.001	QS862190	2,669
Kansas IDeA Network of Biomedical Research Excellence	93.859	QH846880	(6,349)
Pass through University of Minnesota			
Psychological and Cognitive Mechanisms Underlying Sexual Compulsivity	93.242	N002219002	12,972
Pass through University of Missouri - Kansas City			
ARRA: African Americans in Health Science Research	93.701	0045298/00028962	2,859
The Prevention of HIV/AIDS by Stimuli-Sensitive Nanomedicine for Microbicide Delivery	93.855	0037296/00033505	28,054
Pass through University of Missouri-St. Louis			
Neuropathogenesis of clade C HIV in South Africa	93.242	00024395-5	23,465
Pass through University of New Hampshire			
Reducing Health Disparities among People with Intellectual Disabilities - Component A	93.184	13-043	1,713
Pass through University of New Mexico			
Capitalizing on NSAID enantiomer selectivity for cancer therapy (PQ5)	93.393	17057	25,011
Exploring moderating roles of home/classroom quality in relations between language	93.865	971137-87R8	34,978
Pass through University of North Carolina at Chapel Hill			
Family Adaptation to Fragile X Syndrome - Project 1 - Maternal Responsively and the Development of Children with FXS and Ethnographic & Qualitative Methods Core	93.865	5-51088	120,874
Pass through University of Virginia			
Development of Inhibitors of the Leukemia Fusion Protein CBFbeta-SMMHC	93.395	GC11989 136441	75,657
Pass through University of Wisconsin-Madison			
Monkeypox Ecology: An Integrated Approach to Investigations of the Sylvatic Reservoirs	93.989	255K780	153,868
Pass through University of South Carolina			
Three State Rare Conditions Surveillance Project	93.184	13-2201	857
Pass through Virginia Tech			
SIPSMARTER: a Nutrition Literacy Approach to Reducing Sugar-Sweetened Beverages	93.394	431680-19799	15,661
Pass through Washington University, St. Louis			
A pilot study on the development and implementation of a community-driven, comprehensive online diabetes information and management resource for American Indians from the Great Plains	93.847	WU-13-56-MOD-1	11,464
Washington University Center for Diabetes Translation Research	93.847	WU-14-161	10,596
Pass through Westat, Inc.			
Westat Formative Evaluation	93.648	8977-S-004	167,662
Pass through Wichita State University			
The Aging Pituitary-Gonadal Axis	93.866	SUB090220-4-1	23,523
<u>Library of Congress</u>			
Pass through Southwest Research Institute			
Electron Energy Distributions to be Measured by the Rosetta RPCIES Instrument	42.002	E99054DB	8,590
<u>National Aeronautics and Space Administration</u>			
<u>Direct Award</u>			
Metallicity distribution functions of 4 Local Group dwarf galaxies	43.001	HST-GO-12304.02-A	6,830
JPL Cycle 5 Spitzer Research Support	43.001	1348943	2,342
Modeling of Electrons and Ions in the Plume of Enceladus	43.001	NNX10AF15G	45,755
Influences of External Radiation and Energetic Particle Inputs on Upper Atmospheres and Ionospheres: Titan and Jupiter	43.001	NNX10AB86G	132,090
Subglacial water and Antarctic ice dynamics	43.001	NNX10AP05G	53,108
Separating environmental triggers of morphological transformation and star formation quenching	43.001	HST-AR-12152.01-A	28,856
Deployment of a radar instrumentation suite to monitor land and sea ice in support of Operation ICE Bridge	43.001	NNX10AT68G	(92,963)
Antarctic Impulsive Transient Antenna: A Suborbital Ultra-high Energy Particle Telescope	43.001	NNX11AC47G	82,936
Beyond Backstress: data driven assessment of outlet glacier dynamics	43.001	NNX11AR23G	295,292
EcoSAR: The first P- band Digital Beamforming Polarimetric Interferometric SAR instrument to measure Ecosystem Structure, Biomass, and Water	43.001	NNX11AG51G	259,391
A data-driven, Semantic Web system for geospatial modeling of the impact of changing environments on health and disease	43.001	NNX12AF45A	143,225

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Galaxy Assembly at High Densities: HST Dissection of a Cluster at z=1.62	43.001	HST-GO-12590.11A	9,748
Pressure Adaptive Wing Surface (PAWS) Flight Control and Fuel Efficiency	43.001	NNX11AN96A	3,816
Enhancing Airborne Remote Sensing Radar to Provide Higher Quality Ice Sheet Measurements Used for Predicting Sea-level Rise	43.001	NNX12AN95H	11,322
Deployment of CReSIS Radar Instrumentation and Data Management Activities in Support of Operation Ice Bridge	43.001	NNX13AD53A	1,241,097
Modeling of Plasma and Dust in the Plume of Enceladus	43.001	NNX13AG04G	36,326
Scalable Adaptive High-Order Methods for Turbulent Flow Simulations	43.001	NNX12AK04A	123,368
Energy Deposition in the Upper Atmospheres of Jupiter and Saturn by Energetic Particles: The Polar Aurora and Atmospheric Electrodynamics	43.001	NNX14AG79G	2,596
Spatially Resolved Observations of Gas Stripping in Intermediate Redshift Clusters and Groups	43.001	HST-GO-12945.01-A	3,444
NASA Earth & Space Science Fellowship 2013 (NESSF 2013)	43.001	NNX13AN92H	22,392
Adaptation of the Snow Radar for NASA Global Hawk and Ikhana Unmanned Aircraft in Support of Operation Ice Bridge	43.001	NNX13AQ30A	14,623
Unique radar characteristics of the subsurface of Mars	43.001	1299600	1,872
Gamma-Ray Burst Models for GLAST	43.001	NNX08AL39G	34
Multichannel Sense-and-Avoid Radar for Small UAVs	43.002	NNX13AB76A	149,099
NASA EPSCoR - "Feasibility of Renewable Feedstocks for Production of Bio-jet Fuel"	43.008	SUB3138-17-3	11,702
Pass through Southwest Research Institute			
Cassini Ion Neutral Mass Spectrometer (INMS) Team Member Participation	43.002	D99006L	63,833
Pass through United Negro College Fund			
Jenkins Fellowship Program (JFPF) Determination of Ice & Basal Properties of Outlet Glaciers, N. Greenland	43.008	Award Letter	20,949
Pass through University Corp for Atmospheric			
System for Integrated Modeling of Metropolitan Extreme Heat Risk (SIMMER)	43.000	Z11-87946	73,969
Pass through University of Colorado			
Participation in NASA Mars Atmosphere and Evolution mission	43.001	1546525	32,128
Pass through University of Texas-Austin			
Physical Controls on Ocean-Terminating Glacier Variability in Central West Greenland	43.001	UTA12-001013	36,859
Pass through Washburn University			
Astrophysical Ionizing Photon Events and Primary Productivity of Earth's Oceans	43.001	NASABT09001	297
Pass through Wichita State University			
Cure Management for Bonded Composite Repair	43.008	SUB5200-4-2	19,212
KNEP CAN/Nanostructured Solid-State Energy Storage Devices for Wide-Temperature Applications in Space Exploration	43.008	WSU1495	49,009
<u>National Endowment for the Arts</u>			
<u>Direct Award</u>			
Friedrich Nietzsche and the Problem of Pessimism	45.160	FA-57106-13	50,424
Charles Peirce and the Role of Aesthetic Expression in 19th-Century Philosophy and Semiotics	45.160	FA-57755-14	25,180
Narratives and Metanarratives of the Silk Road	45.160	FA-57828-14	24,291
Planning for Lifecycle Management and Long-Term Preservation of Research Data: A Federated Approach	45.312	LG-51-12-0695-12	31,909
Pass through University of California - Berkeley			
The Tree Room: Teaching and learning about evolutionary relationships	45.312	00008059	4,394
<u>National Regulatory Commission</u>			
Innovative Nuclear Engineering Materials and Corrosion Modules for Enhancement and Expansion of the US-NE Educational Infrastructure	77.006	NRC-38-10-978	(366)
Curriculum Models for Nuclear Engineering: Corrosion and Radiation Effects on Electronic Materials	77.006	NRC-HQ-12-G-38-0056	101,428
<u>National Science Foundation</u>			
<u>Direct Award</u>			
EFRI-RESTOR: Regenerative Hydrogen-Bromine Fuel Cell System for Energy Storage	47.041	EFRI-1038234	422,722
REU Site: Biofuels Development - Feedstock to Tailpipe	47.041	EEC-1063097	108,750
Relationship of Theoretical Nano-scale Structure/Properties of Calcium	47.041	CMMI-1068528	91,737
Toward A Greener Ethylene Oxide Technology	47.041	IIP-1127765	32,522
Whispering Gallery Mode Imaging for Early Detection of Ovarian Cancer	47.041	CBET-1133814	125,733

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
MRI: Acquisition of an FEI Versa 3D dual-beam FIB/SEM for Catalysis and Materials Research	47.041	CBET-1229645	190,648
EAGER: Electrical Grid Leveling by Distributed Energy Storage	47.041	CBET-1135368	9,425
Biomechanical Rehabilitation Engineering Advancement in Kansas	47.041	CBET-1160130	19,484
BRIGE: Heterogeneous Traffic over Heterogeneous Relay Networks	47.041	ECCS-1228071	19,262
Workshop: High-Pressure Processes in Sustainable Energy	47.041	CBET-1226262	5,144
RET: Shaping Inquiry from Feedstock to Tailpipe with Education Development (SHIFTED)	47.041	EEC-1301051	1,796
Bottom-Up Manufacturing of Sustainable Magnetic Nanocrystal Assembly	47.041	CMMI-1332658	5,132
Collaborative Research: EAGER: Exploring the Feasibility of a Novel Thermosyphon/Heat Pipe Heat Exchanger with Low Air-Side Thermal Resistance	47.041	CBET-1435131	1,918
Collaborative Research: Transmission of quantum information in circuits of superconducting qubits	47.049	PHY-1314861	24,706
Stochastic Analysis of Gaussian Fractional Noises	47.049	DMS-0904538	19,437
Information-Theoretical Methods in Statistics	47.049	DMS-0906929	5,183
Stability in Discrete and Continuous Dynamical Systems	47.049	DMS-0908802	7,076
Understanding Vibrational Spectroscopic Probes of the Structure and Dynamics of Liquids Confined in Mesoporous Materials	47.049	CHE-1012661	135,389
Collaborative Research: University Program of Detector Research for the ILD Detector Concept at the ILC	47.049	PHY-0935339	83,455
CAREER: Structural and Electronic Contributions to Reactivity in Peroxomanganese(III) Compounds	47.049	CHE-1056470	96,786
CAREER: Nanoscale ballistic spin transport in semiconductors	47.049	DMR-0954486	53,383
Experimental Particle Physics Research	47.049	PHY-0970004	214,592
Collaborative Research: Sharpening the Lithium Probe of Stellar Evolution	47.049	AST-11211621	80,806
Topics in Anisotropic Mesh Adaptation and Application to Anisotropic Diffusion Problems	47.049	DMS-1115118	49,734
Simulation and Theory of Solid-Liquid Interfaces and Grain Boundaries	47.049	CHE-0957102	17,613
Catalytic allylation and benzylation methods	47.049	CHE-1058855	1,735
CAREER: Controlling non-adiabatic reaction dynamics in solution: A window on the fundamental details of photochemical reactions	47.049	CHE-1151555	132,005
Homological Properties of Commutative Rings and Applications	47.049	DMS-1104017	41,146
Probing and manipulating superconductivity in nanostructures	47.049	DMR-1105986	80,325
Fourier Analysis and Multilinear Operators	47.049	DMS-1069015	69,569
Control of Stochastic Systems	47.049	DMS-1108884	99,520
International Collaboration in Chemistry: LOV Domain Signaling	47.049	CHE-1124946	92,931
Linear and Nonlinear Stability for Infinite-Dimensional Dynamical Systems	47.049	DMS-1211315	51,960
Self-Consistent Studies of Particle Acceleration In and Radiation Emission From Relativistic Outflows of GRBs	47.049	AST-1209665	258,515
Numerical methods for linear and nonlinear implicit PDE simulation	47.049	DMS-1115759	34,206
Approximation of Infinite Dimensional Dynamics	47.049	DMS-1115408	47,826
Azulene-bridged Organometallics: New Platforms for Charge Delocalization and Transport at the Nanoscale	47.049	CHE-1214102	81,036
Stochastic Analysis of Gaussian Fractional Noises	47.049	DMS-1208625	38,920
Research Problems in Harmonic Analysis and Partial Differential Equations	47.049	DMS-1160981	35,145
On Two Topics in Algebraic Geometry	47.049	DMS-1206434	24,119
Stability of Nonlinear Waves in Dissipative and Dispersive PDE	47.049	DMS1211183	10,228
Stability of waves in discrete and continuous dynamical systems	47.049	DMS-1313107	39,182
Characterizing the Molecular Gas Contents of High Redshift Galaxies	47.049	AST-1211358	40,473
REU Site: A Summer Experience for Undergraduates Integrating Research, Education, and Career Development in an Interdisciplinary Environment	47.049	CHE-1263259	84,380
Experimental Particle Physics at the Collider and Cosmic Ray Energy Frontiers	47.049	PHY-1306953	355,040
MRI: Development of UHV SPM-TERS in situ Characterization Interfaced with UHV Sputtering-Atomic Layer Deposition System	47.049	DMR-1337737	76,327
NSMDS: Sustainable chemical innovations by an integrated design approach	47.049	CHE-1339661	328,477
CAREER: Understanding the role of quantum coherence in exciton	47.049	DMR-1351716	4,087
Bioinspired High Mechanical Performance Artificial Extracellular Matrices	47.049	DMR-0805264	24,749
CAREER: Gradients and umbilical cord stem cells in osteochondral tissue engineering	47.049	DMR-0847759	27,482
Dating metamorphism with accessory minerals - integrated in-situ geochronology and trace element budgeting of metamorphic reactions	47.050	EAR-0911633	21,073
Geomorphic Evolution and Heterogeneity of Holocene Carbonate Tidal Sand Shoals	47.050	EAR-0922122 Mod 4	632
Collaborative Research: Analytical Techniques and Software: Development of CyberInfrastructure to Support Laser-Ablation ICP Mass Spectrometry	47.050	EAR-0930173	324
Upgrade of a GPR System for Field Investigations, Student Training and Enhancement of Outreach Activities at the University of Kansas	47.050	EAR-1029120	54,477

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Understanding the Diversity and Biology of Microbes in Late Paleozoic Ecosystems	47.050	EAR-0949947	29,334
Synchrotron X-ray fluorescence micro-spectroscopy: A new approach to determine the origin of life on Earth	47.050	EAR-1053241	97,415
Collaborative Research: Investigating Lithologic and Stratigraphic Controls on Hydrogeology in Neogene Strata of the Central High Plains Aquifer	47.050	EAR-1023285	40,511
Collaborative Research: WSC-Category 3: Toward Sustainability of the High Plains Aquifer Region: Coupled Landscape, Atmosphere, and Socioeconomic Processes (CLASP)	47.050	EAR-1039247	39,941
Collaborative Research: Chronology and Paleoecology of late Quaternary Proboscidean extinctions in the Great Lakes region (USA)	47.050	EAR-1050261	28,553
Collaborative Research EaSM2: Linking Human and Earth System Models to Assess Regional Impacts and Adaption in Urban Systems and Their Hinterlands	47.050	AGS-1243060	112,142
The interplay between methanotrophy, methanogenesis, and soil geochemistry and its impact on net methane flux to the atmosphere from Arctic soils	47.050	EAR1261748	33,703
Collaborative Research: Actualistic Calibration of the Sphaerosiderite Paleoclimate Proxy	47.050	EAR0643334	1,178
Collaborative Research: Stabilized Organic Carbon and Paleoenvironmental Interpretations of Late Quaternary Paleosols	47.050	EAR-1226757	4,690
Collaborative Research: GENI Working Group Meetings - Substrate	47.070	CNS-0936815	13,119
CAREER: Implementing a New Parallelization Model for Virtual Machines	47.070	CNS-0953268	70,151
EAGER: Multilayer Network Resilience Analysis and Experimentation on GENI	47.070	CNS-1050226	30,592
CAREER:Filling the Gaps in Domain-Specific Functional-Based Solutions High-Performance Execution	47.070	CCF-1350901	1,886
GENI-FIRE Workshop	47.070	CNS-1058521	23,345
SHF: SMALL: Improving the Applicability of Haskell-hosted Semi-Formal Models to High Assurance Development	47.070	CCF-1117569	195,589
NeTS: Small: Exploiting Adaptive Protocols in Packet-Based Broadband Wireless Networks	47.070	CNS-1216132	98,721
NeTS: Small: Collaborative Research: Resilient Network Design for Massive Failures and Attacks	47.070	CNS-1219028	46,423
CAREER: New Foundations for Next-Generation Reliable Throughput Architecture Design	47.070	CCF-1351054	2,389
MR: Acquisition of Computing Equipment for Supporting Data-intensive Bioinformatics Research at the University of Kansas	47.070	CNS-1337899	405,652
SHF:Small:Collaborative Research:Exploring Energy-Efficient GPGPUs Through Emerging Technology Integration	47.070	CCF-1320730	1,648
KanSec: the Greater Kansas Area Security Workshop	47.070	CNS-1321908	7,739
Collaborative Research: NeTS-FIND: CogNet - An Experimental Protocol Stack for Cognitive Radio Networks and Its Integration with the Future Internet	47.070	CNS-0626676	(9,477)
CAREER: Machine Learning Approaches for Genome-wide Biological Network Inference	47.070	IIS-0644366	(429)
MR: Development of an Anechoic Chamber and Instrumentation for Remote Sensing of Polar Regions and Transportation Interdisciplinary Research and Education	47.070	CNS-0923443	176,480
Computational Studies of Helix and Beta-Hairpin Dynamics and Orientation in Biological Membranes	47.074	MCB-0918374	4,075
The molecular basis for epigenetic inheritance of trichome patterning in Mimulus	47.074	IOS-0951254	78,800
ETBC; Temperature sensitivity of substrate decomposition from enzymes to microbial communities	47.074	DEB-0950095	177,418
A specimen-level database of the world's bees (Apoidea) at the University of Kansas	47.074	DBI-1057366	94,277
LTREB: Long-term studies of secondary succession and community assembly in the prairie-forest ecotone of eastern Kansas	47.074	DEB-0950100	42,990
The epigenetics of RNA based silencing and transposon control in Drosophila virilis	47.074	MCB-1022165	21,210
Using Images and Optimized Workflow for Automated Data Acquisition of Mexican Plant Specimens at MICH	47.074	DBI-0968352	(9,760)
Collaborative Biodiversity Collections Computing	47.074	DBI-0960913	256,983
ABC transporters and RNAi in Caenorhabditis elegans	47.074	MCB-0951296	24,492
Collaborative Research: ABI Development: Development and Application of Graphical User Interfaces for System Building and Analysis of Membrane Simulations	47.074	DBI-1145987	131,603
DISSERTATION RESEARCH: Experimental Evolution of Mating System under Varying Pollinator Availability in Mimulus guttatus	47.074	DEB-1010899	(5)
DISSERTATION RESEARCH: Comparative Phylogeography of Dynamic Archipelago	47.074	DEB-1011423	1,061
Plant recruitment, coexistence and community assembly in developing prairie restorations	47.074	DEB-1021158	139,038

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
CAREER: Integrating phylogenetics and development to investigate character evolution in hydrozoans	47.074	DEB-0953571	127,410
Collaborative Research: RUI: Understanding diversity in camas and rush lilies: can a unified approach resolve species boundaries in difficult groups?	47.074	DEB-1145847	99,973
CAREER: Does crowding stabilize intrinsically disordered proteins?	47.074	MCB-1149538	79,137
Collaborative research- Evolution of living and fossil green lacewings (Chrysopidae): phylogenetics, informatics and a universal ontology for Neuroptera	47.074	DEB-1144162	31,277
Collaborative Research: CSBR: Natural History Collections: Georeferencing U.S. Fish Collections: a community-based model to georeferencing natural history collections	47.074	DBI1203462	77,363
ABI Development: Collaborative Research: VertNet, a New Model for Biodiversity Networks	47.074	DBI-1062200	4,006
DISSERTATION RESEARCH: Testing the Pleistocene aggregate island complex (PAIC) model of diversification in co-distributed avian lineages	47.074	DEB-1110619	7,132
Dissertation Research: Evolution of the weevils and development of the weevil rostrum (Coleoptera: Curculionoidea)	47.074	DEB-1110590	818
DOCTORAL DISSERTATION: Toward resolving lecanicephalidean familial relationships using morphology and molecules	47.074	DEB-1110468	2,889
Collaborative Research: Digitization TCN: InvertNet--An Integrative Platform for Research on Environmental Change, Species Discovery and Identification	47.074	EF-1115051	34,511
OPUS: Marsupial Frogs and Their Allies: A Successful Evolutionary Experiment in Diversification of Reproductive Modes	47.074	DEB-1118879	330
Collaborative Research: Arbor: Comparative Analysis Workflows for the Tree of Life	47.074	DEB-1208472	218,644
COLLABORATIVE RESEARCH: Systematics of a pantropical diversification: the suboscine passerine birds	47.074	DEB-1146345	40,974
Protein interactions in the utilization of iron by pathogenic bacteria	47.074	MCB-1158469	186,363
Digitization TCN: Collaborative Research: Digitizing Fossils to Enable New Syntheses in Biogeography - Creating a PALEONICHES-TCN	47.074	EF-1206757	144,312
Collaborative Research: Automated and community-driven synthesis of the tree of life	47.074	DEB-1208393	135,836
Computational Studies of membrane proteins based on NMR	47.074	MCB-1157677	131,626
DISSERTATION RESEARCH: Niche Evolution in Gadine Fishes	47.074	DEB-1209917	3,514
Collaborative Research: Digitization TCN: Developing a Centralized Digital Archive of Vouchered Animal Communication Signals	47.074	EF-1304585	16,712
Genetic and developmental changes associated with the evolution of a hummingbird-pollination floral syndrome	47.074	IOS-1255808	20,756
Integrating Fossil Data into Likelihood-based Phylogenetic Analyses with Trilobites as a Model System	47.074	DEB-1256993	79,512
Dimensions: Collaborative Research: Historical and contemporary influences on elevational distributions and biodiversity tested in tropical Asia	47.074	DEB-1241181	48,765
ABI Innovation: Target - template structural and functional relationships in modeling of protein - protein interactions	47.074	DBI - 1262621	115,200
Comparison of Amino-Acid and Bulk-Tissue Stable Isotope Techniques for Analyzing Modern and Historical Food Webs	47.074	DEB-1249370	35,855
Collaborative Research: Unique structural proteins and cross-linking reactions that control physical properties of insect exoskeletons	47.074	IOS-1258027	8,086
ICOB: Molecular and morphological characterization of polar capsules in the parasitic Myxozoa	47.074	IOS-1321759	7,710
Digitization TCN: Collaborative Research: Fossil Insect Collaborative: A Deep-Time Approach to Studying Diversification and Response to Environmental Change	47.074	EF-1304957	108
DISSERTATION RESEARCH: Disentangling phylogenetic relationships in an explosive bird radiation	47.074	DEB-1311513	3,282
SG: Evolutionary lag and the effects of clonal structure	47.074	DEB-1354754	1,030
Collaborative Research: Diversification in the Deep-Sea and the Evolution of Fangs, Bioluminescence, Hermaphroditism, and Marine Habitat Transitions	47.074	DEB-1258141	86,270
ORNIS: A Community Effort to Build an Integrated, Distributed, Enriched and Error-Checked ORNithological Information System	47.074	DBI-0345448	5,826
Rotational Dynamics of the Photosynthetic ATP Synthase	47.074	MCB-0818743	(8,113)
Collaborative Research: Assembling the Euteleost Tree of Life - Addressing the Major Unresolved Problem in Vertebrate Phylogeny	47.074	DEB-0732819	17,220
Collaborative Research: Large-scale simultaneous multiple alignment and phylogeny estimation	47.074	DEB-0732920	76,831
A Comprehensive Biotic Survey of Philippine Land Vertebrates and Their Parasites	47.074	DEB-0743491	177,827
Collaborative Research: Avian Diversification Across Tropical Asia; a Systematic and Biogeographic Analysis of Babblers (Aves: Timaliidae)	47.074	DEB-0743576	866
CAREER: Plant Evolution at Low CO2: Responses of Ice Age Trees	47.074	IOS-0746822	43,481
Survey of the Aquatic Insects of Northern Venezuela with an emphasis on Coleoptera	47.074	DEB - 0816904	35,075
CAREER: Mechanistic studies of tyrosine derived cofactors	47.074	MCB-0747377	84,624

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Collaborative Research: PBI: A survey of the tapeworms (Cestoda: Platyhelminthes) from the vertebrate bowels of the earth\	47.074	DEB-0818823	29,729
Examining Development and Ultimate Attainment in Second Language Processing: An ERP Investigation	47.075	BCS-0951900	38,208
Light Verbs in Uyghur	47.075	BCS-1053152	98,185
Planned Missing Research Designs: Power and Validity of Planned Missing Data Designs in Longitudinal Research	47.075	BCS-1053160	77,604
Collaborative Research: Documenting Cherokee (CHR) Tone and Vowel Length	47.075	BCS-1065508	7,182
Economic and Scientific Effects of Federal Investment in Chemical Sciences Research	47.075	SMA-1064218	126,854
Co-Lang: Institute for Collaborative Language Documentation Training	47.075	BCS-1065469	2,051
Interactive Inner Asia: documenting an endangered language contact area	47.075	BCS-1065524	44,578
Examining how exposure to metaphorical framing influences attitudes toward sociopolitical issues	47.075	BCS-1222047	76,447
CAREER: Community Adaptation to Changing Environmental Disasters	47.075	BCS-1151288	91,826
Disability Categorizations in Schools	47.075	SES-1154843	87,244
Collaborative Research: Land Change in Brazil's Cerrado: Ethanol and Sugar Cane Expansion at the Farm and Industry Scale	47.075	BCS-1227160	39,969
CoLang: Institute for Collaborative Language Research	47.075	BCS-1065469	2,024
Collaborative Research: Opening New Views into Bankruptcy and Credit Markets Using Court Records	47.075	SES-1355744	5,846
DOCTORAL DISSERTATION RESEARCH: Floods, Worms, and Cattle Plague: Natural Disaster at the Decline of the Dutch Golden Age	47.075	SES-1331284	3,164
Africa's Endangered Languages: Documentary and Theoretical Approaches	47.075	BCS-1360823	29,456
Shifting standards in language communication and interpretation	47.075	BCS-0820705	27,329
PAESMEM Individual Award: Marigold Linton, Director of American Indian Outreach	47.076	DUE-0931675	8,328
The Evidence Games: Collaborative Games Engaging Middle School Students in the Evaluation of Scientific Evidence	47.076	DRL-1019842	401,677
Developing Virtual and Physical Models to Enhance Conceptualization of Soil and Biogenic Structures in Undergraduate Geoscience Classes	47.076	DUE1140908	70,118
Enhancing Teaching and Learning with Social Media: Supporting Teacher Professional Learning and Student Scientific Argumentation	47.076	DRL-1316799	82,612
Collaborative research: A cultural-psychological analysis of gender discrimination (and privilege) in STEM fields	47.076	HRD-0936718	13,240
Kansas Partnership for Graduate Fellows in K-12 Education	47.076	DGE-0742523	609,857
Collaborative Research: Glacier-Ocean Coupling in a Large East Greenland Fjord	47.078	ARC-0909282	60,291
Collaborative Research: Life in a Volcanic Landscape: Early Jurassic Paleoenvironments and Paleobotany	47.078	ANT-1142495	60,411
Collaborative Research: Antarctic Ecosystems across the Permian-Triassic Boundary: Integrating Paleobotany, Sedimentology, and Paleoecology	47.078	PLR - 0943934	115,254
Collaborative Research: Byrd Glacier Flow Dynamics	47.078	ANT-0944597	99,297
Center for Remote Sensing of Ice Sheets (CREGIS)	47.078	PLR-0424589	3,164,269
MRI: Development of a high-power, large antenna array and ultrawideband radar for a Basler for sounding and imaging of fast-flowing glaciers and mapping internal layers	47.078	ANT-1229716	573,250
Collaborative Research: Geological Hazards, Climate Change, and Human/Ecosystems Resilience in the Islands of the Four Mountains, Alaska.	47.078	PLR-1301927	34,534
CAREER: Improving Understanding of Antarctic Glacier Dynamics Through an Interactive Numerical Flowline Model	47.078	ANT-1255488	1,821
Paleoenvironmental and Paleoclimatic Analysis of the Beacon Supergroup, Beardmore Glacier Area, Central Transantarctic Mountains, Antarctica	47.078	ANT-0944282	96,464
US-Taiwan Workshops on Materials and Systems Challenges in Electrical Energy Storage	47.079	OISE-1126511	(2,259)
PIRE: Collaborative research with the Paul Scherrer Institute and Eidgenoessische Technische Hochschule on Advanced Pixel Silicon Detectors for the CMS detector	47.079	OISE-0730173	216,760
Collaborative Research: CI-Team Diff: The Virtual Learning Commons: STEM Research Communities Learning about Data Management, Geospatial Informatics, and Scientific Visualization	47.080	OCI-1135510	27,434
Phase VI: Climate Change and Energy: Basic Science, Impacts, and Mitigation	47.081	EPS-0903806	4,017,259
Collaborative Research: EPSCoR RII Track 2 Oklahoma and Kansas: A cyberCommons for Ecological Forecasting	47.081	EPS-0919443	349,730
ARRA: Collaborative Research: Extending Lifemapper to Enable Macroecological Research	47.082	EF-0851290	16,736
ARRA: Collaborative Research: Subglacial Water Intrusion in Greenland	47.082	ARC-0909422	12,621
ARRA: NSF ARI-R2 Repair and Renovation: Advancing Research in Biodiversity Science	47.082	DBI-0963511	174,852
ARRA: MRI-R2: Development of a Pixel Detector for the Upgraded CMS Experiment	47.082	PHY-0960128	647,286
ARRA: Prairie Light: Next Generation Networking for Mid-continent Science	47.082	EPS-1006860	128,211

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
ARRA: Collaborative Research: REVSYS: RUI: Phylogenetic and Revisionary Systematics of early-diverging, ecologically unique clades of fungi, Harpellales and Asellariales	47.082	DEB-0918169	17,341
ARRA: Purchase of a Cyber-enabled Regional 400 MHz Solid-State NMR Spectrometer	47.082	CHE-0840515	393
ARRA: CAREER: Mining Genome-Wide Chemical Structure-Activity Relationships in Emerging Chemical Genomics Databases	47.082	IIS-0845951	6,369
Pass through American Museum of Natural History			
Digitization TCN: Plants, Predators, and Parasitoids: A Model System for the study of Tri-Trophic Associations	47.074	2-2011	21,385
Pass through BBN Technologies, Inc.			
KanREN-GENI: GENI in the Kansas Research and Education Network and the Great Plains Network	47.070	9500011164	43,911
Pass through Columbia University			
Geoinformatics Facility Support: Integrated Data Collections for the Earth and Ocean Sciences: The Marine Geoscience Data System and the Geoinformatics for Geochemistry Program	47.050	GG002806	230,202
Pass through Duke University			
Human and Natural Forcings of Critical Zone Dynamics and Evolution at the Calhoun Critical Zone Observatory	47.050	14-NSF-1078	2,080
Pass through iBeam Materials, Inc.			
Development of Large-Area Crystal-Aligned Substrates for Epitaxial Graphene	47.041	15404	60,572
Pass through KS Board of Regents			
Phase VI: Climate Change and Energy: Basic Science, Impacts, and Mitigation	47.081	Agreement	376,854
Pass through KS State University, SPA			
Konza Prairie LTER V: Long Term Research on Grassland Dynamics and Global Change	47.074	S09068.06	15,220
Konza Prairie LTER VI: Grassland Dynamics and Long-Term Trajectories of Change	47.074	S11093.05	15,861
Understanding Climate Change in the Great Plains: Source, Impact, and Mitigation	47.081	S01026.05	134,808
Pass through Leidos Biomedical Research Inc.			
Political Instability Task Force	47.070	P010077407	13,763
Pass through Louisiana State University			
AF: DC: Collaborative Research: Pattern Matching for Massive Data Sets	47.070	50534	62,001
Pass through Princeton University			
U.S. CMS Operations at the LHC	47.049	00002102	70,265
Pass through Purdue University			
NEES Operations: FY 2010-FY 2014	47.041	NEES-4101-31913	66,596
Pass through Texas Tech University			
GOALL: Erbium Doped III-Nitrides for Optical Communications and Silicon Photonics	47.041	21P297-01	28,849
Pass through Tulane University			
Effects of Anthropogenic Habitat Perturbation on Rodent Population Dynamics and Risk of Rodent-Borne Diseases	47.050	TUL-HSC-428-12/13	2,466
Pass through University of Arizona			
New Biologically Relevant Sulfur Radical Cation Chemistry	47.049	Y561481	78,210
Pass through University of California - Berkeley			
NEESR-GC: Mitigation of Collapse Risk in Vulnerable Concrete Buildings	47.041	SA5547-21642	69,106
Pass through University of California - Irvine			
Development of Hexagonal Radio Array for the ARIANNA Ultra-High Energy Neutrino Detector	47.049	2013-2904	51,555
Pass through University of Illinois			
CSR: Medium: Multicore Real Time Virtual Partitions	47.070	2013-01883-01	15,396
The Responsible Conduct of Computational Modeling and Research	47.070	2008-05134-01	1,923
Pass through University of KS Med Ctr. Research Institute			
EAGER: US Ignite: In-Home Monitoring in Support of Caregivers for Patients with Dementia	47.070	QW859790	24,114
Pass through University of Maryland-College Park			
ARRA: Collaborative Research: MRI-R2 Instrument Development of the Askaryan Radio Array, A Large-scale Radio Cherenkov Neutrino Detector at the South Pole	47.082	Z349202	(176)
Pass through University of Michigan			
Public Service System for Automated Protein Structure Systems	47.074	3001544653	4,398
Change Thinking for Global Science: Fostering and Evaluating Inquiry Thinking About the Ecological Impacts of Climate Change	47.076	3001328409	32,940
Pass through University of Nebraska - Lincoln			
NSF Cooperative Agreement for Phase-1 Upgrades of CMS	47.049	25-0521-0178-005	2,686
Pass through University of New Mexico			
DataNetONE: Observation Network for Earth	47.080	063014-87L3	250,100

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Pass through University of Notre Dame			
A QuarkNet Site at the University of Kansas: Engaging High School Physics Teachers in Particle Physics Research	47.049	Agreement	14,419
Pass through University of Utah			
MRI: Development of a Radar Observatory for Cosmic Ray Air Showers	47.049	10022787	10,855
Pass through Wayne State University			
CDI Type II: Computational Methods to Enable an Invertebrate Paleontology	47.070	Agreement	45,898
<u>Social Security Administration</u>			
Pass through National Disability Institute			
Reforming Social Security's Disability Benefits System	96.007	Agreement	8,057
<u>Small Business Administration</u>			
Pass through Michigan State University			
Proposal to Evaluate the Impact of CADCA's Coalition Training in Lima, Peru	19.000	RC065848A	(3,600)
<u>U.S. Department of Agriculture</u>			
<u>Direct Awards</u>			
Interactive Mediated Instruction for Understanding and Adhering to the Animal Welfare Act Policy	10.025	12-6100-0085-CA	69,961
Sustainability Study of Wild Populations of Osha (<i>Ligusticum porteri</i>)	10.200	12-CS-11020900-020	12,521
Green Technologies for Product Diversification in an Integrated Biorefinery	10.312	2011-10006-30362	1,415,009
Pass through Cornell University			
DoD/USDA Exceptional Family Member Program (EFMP) Benchmark Study	10.500	62637-9488	(1,127)
Pass through KS Dept. of Commerce & Housing			
ARRA: Kansas Statewide Broadband Initiative	10.787	13349	211,598
Pass through The University of North Carolina at Greensboro			
FASE: Genetic Characterization of Absolute Varroa Mite Resistance in Honey Bees	10.310	09-0386.1	31,493
<u>U.S. Department of Transportation</u>			
<u>Direct Awards</u>			
KU Transportation Research Institute	20.762	DT0S59-06-G-00047-08	1,387,107
Engine Test Cell Upgrade project	20.931	DTOS59-10-G-00109	8,320
Pass through University of Kansas			
Improving Infrastructure Sustainability I: Extending Useable Lives of Steel Bridges by Halting Distortion-Induced Fatigue Crack Propagation Using Fully-Tightened Bolts and Plate Washers	20.215	SKS0066883	167
Pass through University of Nebraska - Lincoln			
Evaluation of Low-Cost Intersection Countermeasures to Reduce Red Light Running Violations	20.701	25-1121-0003-147	4,961
Properties of Fouled Recycled Ballast (Phase II)	20.701	25-1121-0003-148	28,547
Geosynthetic Reinforcement to Protect Underground Pipes against Damage from Construction and Traffic	20.701	25-1121-0003-146	28,502
Repair of Floorbeam-to-Stringer Connections Affected by Distortion-Induced Fatigue	20.701	25-1121-0003-149	18,845
Educational Activities through MATC at the University of Kansas FY 2013	20.701	25-1121-0003-150	2,028
Method for Field Identification of Fouled Railroad Ballast	20.701	25-1121-0003-245	33,322
Evaluation of an Electronic safety Perimeter system for Kansas Temporary Work Zones	20.701	25-1121-0003-245	20,310
Development of Distortion-Induced Fatigue Retrofit Techniques for Skewed Steel Bridge Girders	20.701	25-1121-0003-245	24,162
Educational Activities through MATC at the University of Kansas FY 2014	20.701	25-1121-0003-245	46,548
Evaluation of the Intersection Conformation Light System to Reduce Red Light Running Violations at Freeway Ramp Intersections	20.701	25-1121-0003-245	33,489
<u>Veterans Administration</u>			
<u>Direct Awards</u>			
VA Educational Therapy Program	64.125	VA255-P-0864	7,761
TOTAL RESEARCH AND DEVELOPMENT CLUSTER			<u>101,326,620</u>

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
TRIO CLUSTER			
<u>U.S. Department of Education</u>			
<u>Direct Awards</u>			
The University of Kansas Upward Bound Math and Science Center	84.047	P047M080055-11	(130)
The University of Kansas Upward Bound Program	84.047	P047A090338-12	136,928
The University of Kansas Veterans Upward Bound Program	84.047	P047V090028-12	73,035
Student Support Services Program	84.042	P042A110606 - 13	274,334
University of Kansas Talent Search	84.044	P044A110799	465,849
University of Kansas Upward Bound Program	84.047	P047A130144	183,880
McNair Scholars Program	84.217	P217A120075 - 13	262,175
The University of Kansas Upward Bound Math and Science Center	84.047	P047M120139 - 13	241,645
University of Kansas Educational Opportunity Center	84.066	P066A110016 - 13	254,924
The University of Kansas Veterans Upward Bound Program	84.047	P047V130043	192,267
The University of Kansas McNair Scholars Program (KU-MSP)	84.217	P217A070053	(1,443)
Pass through KS Dept. of Education			
College Access Challenge Grant Application Proposal for Summer Funding AY 2011-2012	84.044	Agreement	135,413
TOTAL TRIO CLUSTER			2,218,877
<u>U.S. Department of Education</u>			
<u>Direct Awards</u>			
National Resource Centers Program	84.015	P015A100147 - 13	141,189
National Resource Centers Program	84.015	P015A100016 - 13	93,320
National Resource Centers and Foreign Language and Studies Fellowships Programs			
	84.015	P015B100067 - 13	212,483
National Resource Centers Program (NRC)	84.015	P015A100044 - 13	141,880
National Resource Centers Program	84.015	P015A100096-13	161,618
Foreign Language and Area Studies Fellowships Program	84.015	P015B100147 - 13	308,758
Foreign Language and Studies Fellowships Programs	84.015	P015B100044 - 13	251,234
Foreign Language and Studies Program	84.015	P015B100016 - 13	256,865
Foreign Language and Studies Fellowships Program	84.015	P015B100096-13	256,365
Kansas State Plan for Assistive Technology	84.224	H224A110016 - 11C	(2)
Kansas State Plan for Assistive Technology	84.224	H224A130016	232,420
Kansas State Plan for Assistive Technology	84.224	H224A120016-12A	164,543
Social Tele-Coaching in Classroom Settings (SoTelCo)	84.324	R324A090322	69,219
Post-Doctoral Research Training Program in Special Education: Response to Intervention (RTI) in Early Childhood	84.324	R32B120004 - 14	167,817
Post-Doctoral Special Education Research Training in Urban Communities: A Research to Practice Model	84.324	R324B100004	159,079
Kansas University-Autism and Early Childhood Education Professionals (KU-ACE)	84.325	H325K090107 - 12	169,414
Project LIFE (Low Incidence Functional Endorsement)	84.325	H325K090203-12	124,487
Training Personnel to Serve School Age Children with Low Incidence Disabilities: Autism Spectrum Disorders	84.325	H325K090213-12	60,547
KU Improving Transition Teacher Quality with Online Personnel Preparation (iTran)	84.325	H325K100511 - 13	317,682
Augmentative and Alternative Communication in the Schools: Leadership and Access (ACTS) - Kansas City	84.325	H325K110338 - 13	229,935
University of Kansas Global Learning Opportunities Building Early Education Leaders (KU-GLOBEEL) Type A	84.325	H325D120065 - 13	143,778
Culturally-Responsive Early Literacy Intervention (CRELI): American Indian/Alaskan Native	84.325	H325K130316	14,305
KU-DDL: The University of Kansas Diversity, Development & Learning Early Childhood Unified Masters	84.325	H325K130073	43,319
Leadership in Family-Professional Partnership Enhancement	84.325	H325D080047 - 11	59,649
The University of Kansas National Response to Intervention (Rtl) Leadership Preparation Program: Improving School Outcomes for Students with Significant Disabilities in Urban Settings	84.325	H325D080029-11	15,486
Kansas High-Incidence Preparation Project (KIP)	84.325	H325T080040-12	202,998
Communication, Autism and Technology	84.325	H325K080327-11	61,911
SD Futures Curriculum: Achieving Self-Directed Employment through a Web-Based Curriculum	84.327	H327A100085 - 11	(3,437)
Center on Online Learning and Students with Disabilities	84.327	H327U110011 - 13	1,541,997
Pathways to Success	84.334	P334A050282	(4,741)
University of Kansas Washington Cluster GEAR UP Program	84.334	P334A110181 - 13	647,720

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
University of Kansas Harmon Cluster GEAR UP Program	84.334	P334A110182 - 13	713,432
TELK-Topeka: Teaching English Learners in Kansas-Topeka	84.365	T365Z110079 - 13A	382,051
KAN-Tell (Kansas Teachers of English Language Learners)	84.365	T195N070093-11	54,598
Design and Development of a Dynamic Learning Maps Alternate Assessment System	84.373	H373X100001 - 13C	7,625,453
Pass through Colorado - Dept. of Higher Education			
Supporting the Development of Colorado Performance Tasks	84.395	PO DAA 13-0177	24,101
Pass through Families Together, Inc.			
Kansas Parent Training and Information and Family-to-Family Health Information Center	84.328	Agreement	1,928
Pass through KS Board of Regents			
College Access Challenge Grant Summer Funding AY2013-2014	84.378	PO DAA 13-0177	8,111
Pass through KS Dept. of Education			
Kansas Inservice Training System (KITS)	84.027	TASN #21013	593,024
Inclusive Network of Kansas (INKS): Field Based Technical Assistance and Professional Development	84.027	21002	(2,348)
The Kansas Transition Technical Assistance Project (KS-TTAP)	84.027	21001	323
Project Success	84.027	21002	(401)
Technical Assistance System Network	84.027	21002	78,372
The Kansas Transition Technical Assistance Project (KS-TTAP)- Kansas Secondary	84.027	21001	375,725
Technical Assistance System Network	84.027	21002	425,622
The Kansas Transition Technical Assistance Project (KS-TTAP)- Kansas Secondary Connections	84.181	21001	101,869
Project ALIVE	84.323	29001	(2,009)
Consortium of Low Incidence Teacher Preparation Programs in Kansas Project (CLIPP)	84.323	29002	5,966
Kansas Reading and Mathematics Assessments FY 2010 - 2012	84.369	652-10-1599	(8)
Kansas Reading and Mathematics Assessments FY 2011-2012	84.369	652-11-1668	311,502
KSDE TAC Meetings	84.369	652-12-1770	48,531
Development of the Teacher Leader Assessment	84.369	652-14-1796	28,095
Pass through KS Dept. of Health & Environment			
Kansas Inservice Training System (KITS)-Infant and Toddler Expansion	84.181	Agreement	13,507
Kansas Inservice Training System (KITS) - Infant and Toddler Expansion	84.181	Agreement	179,798
Pass through KS State Board of Education			
Kansas Migrant Grant and ELL Academy	84.011	652-10-1639	44,103
Mini-KMEA	84.011	652-12-1721	(154)
Migrant Grant	84.350	652-10-1628	18,431
Pass through MO Dept. of Elementary & Secondary Ed			
Missouri Transition	84.027	Agreement	18,137
Statewide Evaluation of Secondary Transition Practices and Professional Development	84.027	Agreement	126,207
PBS Tertiary Training System Development	84.027	Agreement	3,247
Secondary/Transition Communities of Practice	84.027	Agreement	192,878
PBS Tertiary Training System Development	84.027	Agreement	15,118
University of Kansas-State Personnel Development Grant Evaluation	84.323	Agreement	(980)
State of MO Personnel Development Grant Evaluation	84.323	Agreement	22,925
State Personnel Development Grant Evaluation 2 (SPDG)	84.323	Agreement	18,313
State Personnel Development Grant Evaluation 2 (SPDG)	84.323	Agreement	123,875
Pass through Texas A&M Research Foundation			
Preparation of Leaders Across the Lifespan for Autism	84.325	S120025	15,134
Pass through University of Kansas			
Provide Professional Development and Associated Resources for the Strategic Instruction Model and Content Literacy Continuum	84.323	Agreement	92,573
Adolescent Literacy Partnerships: Meeting the Needs of All Students	84.323	E080327-600-077	43,287
Virginia's State Personnel Development Grant Proposal	84.325	Agreement	504,913
Title 1 Professional Development Kansas Reading First	84.357	652-12-1716	16,756
Pass through University of KS Med Ctr. Research Institute			
Examination of the Use of a Spanish Version of the Online and Applied System for Intervention Skills (OASIS) Training Program and Parents of Children with an Autism Spectrum Disorder	84.133	QH855810	7,088
Pass through USD 457, Garden City KS			
Teaching American History: Searching for America	84.215	9423	24,764
Pass through Virginia Department of Education			
Virginia Department of Education, Online Transition Seminar	84.027	767-88117H027A130172	5,303

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
<u>U.S. Department of Homeland Security</u>			
Kansas State Training Systems Grant Application FY 2012	97.043	EMW-2012-GR-00040S01	997
Kansas State Training Systems Grant Application FY 2013	97.043	EMW-2013-GR-00035-S0	7,014
<u>U.S. Department of Commerce</u>			
KU Entrepreneurship Works for Kansas - Phase II	11.303	05-66-05038-02	43,272
KU Entrepreneurship Works for Kansas - Phase III	11.303	05-66-05445	411
KU University Center Project: KU Entrepreneurship Works for Kansas - Phase III	11.303	05-66-05445	35,013
<u>U.S. Department of Defense</u>			
<u>Direct Awards</u>			
Command Team Development Program	12.431	W91QF4-11-C-0007	107,153
Peace Corps Recruitment Proposal for FY 2013-2015	12.000	PC-12-8-094	20,882
STARTALK 2013: C2-Chinese Connect	12.900	H98230-13-1-0138	56,032
STARTALK 2014:C2-Chinese Connect	12.900	H98230-14-1-0068	5,209
<u>Pass through Institute for International Ed</u>			
Building Language Capacity to Meet National Security Needs: The University of Kansas Language Training Center	12.550	2013-LTC-KU	254,916
<u>U.S. Department of Energy</u>			
<u>Direct Awards</u>			
Oregon Caves National Monument Book	15.650	DI-9340-P	1,494
Curation of Archaeological Collections CLIN 002	15.904	W912DQ-09-P-1051	40,852
<u>U.S. Department of Justice</u>			
<u>Direct Awards</u>			
Paul E. Wilson Defender Project	16.603	DJBP0408NF530001A	39,854
Paul E. Wilson Defender Project	16.603	DJBP0408MF540001L	17,936
<u>Pass through Douglas County Sheriff's Office</u>			
Assess, Intervene, Mobilize and Succeed (AIMS) Initiative	16.745	2010-MO-BX-0020	(1,032)
<u>Pass through Office of Kansas Governor</u>			
Police Domestic Violence/Sexual Assault Training	16.588	14-VAWA-03	5,791
<u>U.S. Department of Labor</u>			
<u>Direct Awards</u>			
Job Corps Staff Development Support	17.268	DOLJ111A21695	109,724
<u>Environmental Protection Agency</u>			
<u>Pass through University of Missouri - Rolla</u>			
FY 2012 Cooperative Agreement: Investigation of Occurrence, Degradation, and Control/Limitation of Perchlorate, Pharmaceuticals and Personal Care Products, and N-Nitrosamine Emerging Contaminants in Missouri Drinking Water Systems	66.468	00034890-01	3,480
<u>U.S. Department of Health and Human Services</u>			
<u>Direct Awards</u>			
Training Researchers in Language Impairments	93.173	5T32DC000052-17	84,153
Training Researchers in Language Impairments	93.173	5T32DC000052-15	(160)
Natural Products at a Crossroad: Present and Future Directions	93.213	1R13AT008004-01	21,000
Substance use in foster care youth: Relations among maltreatment, use, and coping	93.279	5F31DA034423-02	37,900
Kansas University Center on Developmental Disabilities (KUCDD)	93.632	90DD0700-02-00	547,445
Kansas Workforce Initiative	93.648	90CT0150-05-03	283,015
KAPP: Kansas Adoption Permanency Project	93.652	Y90CO1120-01-00	110,527
Training Grant in Dynamic Aspects of Chemical Biology	93.859	5T32GM008545-21	219,155
MBRS IMSD Program at the University of Kansas	93.859	5R25GM062232-12	104,572
500 Nations Bridge Collaboration: Haskell Indian Nations University / U of Kansas	93.859	5R25GM060182-12	188,580
KU Post-Baccalaureate Research Education Program	93.859	5R25GM078441-08	407,506
MBRS RISE Program Haskell Yr 5	93.859	A12PX90040	93,809
MBRS IMSD Program at the University of Kansas	93.859	2R25GM062232-13A1	39,980
The genetic and developmental basis of repeated phenotypic shifts in Penstemon	93.859	1F32GM110988-01	5,551
Pharmaceutical Aspects of Biotechnology Training	93.859	5T32GM008359-23	300,722
The University of Kansas/Haskell Indian Nations University IRACDA Project	93.859	5K12GM063651-10	1,486
Postdoctoral Training in Translational IDD Research	93.865	5T32HD057844-05	160,015

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
Pass through Association for Positive Behavior Support			
APBS Support Web Development/Maintenance	93.242	Agreement	542
APBS Support Web Development/Maintenance	93.632	Agreement	32,000
Pass through Colorado Department of Human Services			
Colorado ROM	93.004	Agreement	(13)
Pass through Kansas Children's Service League			
Pass through KS Dept. for Aging and Disability Service			
Title XIX-Children's Mental Health FY 2014	93.778	Agreement	1,387,802
Title XIX-Children's Mental Health FY 2014	93.778	Agreement	18,751
Community Monitoring Documentation System	93.959	Agreement	78,458
Pass through KS Dept. for Children and Families			
Title XIX-Children's Mental Health & Consumer Satisfaction	93.242	SRS/KU - Kids Contra	20,344
Kansas Reading Road Map Evaluation	93.558	Agreement	23,482
Targeted Grants to Increase the Well-Being of, and to Improve the Permanency Outcomes for, Children Affected by Methamphetamine or Other Substance Abuse	93.648	CFS-1107-1008-1129	438
Community Based Child Abuse Neglect and Prevention Program (CBCAP) Phase VII	93.669	3673701131213PO13906	100,484
Community Based Child Abuse Neglect and Prevention Program (CBCAP) Phase VII	93.669	Agreement	46,026
Community Monitoring Documentation System	93.959	36540	17,535
Pass through KS Dept. of Health & Environment			
Kansas Early Childhood Comprehensive Systems	93.110	Agreement	87,897
The Kansas Project LAUNCH Initiative	93.243	Project LAUNCH Init	38,484
Kansas Project LAUNCH (evaluation)	93.243	Project LAUNCH Eval	58,870
Kansas Home Visiting Evaluation	93.505	Agreement	232,973
ARRA: Strategic Plan	93.729	Agreement	128,951
Equipment Exchange: Increasing Access to Durable Medical Equipment	93.778	KHPA-2007-001	50,466
Equipment Exchange: Increasing Access to Durable Medical Equipment	93.778	KDHE2014-011	225,970
Assisting Medicaid Beneficiaries in Accessing Assistive Technology	93.779	KHPA-2008-018	58,095
Assisting Medicaid Beneficiaries in Accessing Assistive Technology	93.779	KDHE2014-010	111,375
Pass through KS Health Policy Authority			
Comprehensive Employment Systems Infrastructure Development Project	93.768	KHPA2007-055	2,137
Pass through Learning Tree Institute			
Evaluation of Underage Drinking Efforts in the Kansas SPF-SIG State Initiative	93.243	10384	285
Pass through Maine Dept. of Health & Human Services			
ROM Reports Service Model Yr 5	93.556	CFS-14-8402	23,798
Pass through Montana Dept. of Public Health			
Child Welfare Outcome Reporting System (Phase II)	93.242	20063KUCR0001	(4,927)
Pass through Oregon Department of Human Services			
Oregon ROM Reports System	93.658	133972	72,496
Pass through State of Nebraska Dept. Health & Human S			
Federal Home Visiting Data Collection and Reporting System for Nebraska	93.505	Agreement	10,486
Federal Home Visiting Data Collection and Reporting System for Nebraska	93.505	Agreement	88,726
Pass through University of Massachusetts - Boston			
Self-Determination and Postsecondary Education for Students with Intellectual Disability	93.632	S20090000009663	(13,815)
Pass through University of Michigan			
Evaluation of the QIC-ChildRep Training Program	93.652	3002541914	33,698
Evaluation of the QIC-ChildRep Training Program	93.652	3002955291	13,710
Pass through University of Missouri - Kansas City			
Enhancing Life Outcomes Through Self-Determination: A National Training Initiative	93.632	0044376/00040547	76,463
Pass through University of Oklahoma			
National Child Traumatic Stress Initiative - Category II Treatment and Service Adaptation	93.243	SS20091997-62	(530)
National Aeronautics and Space Administration			
IPA for Amanda Reichard	27.011	IPA	8,159
Pass through Consumer Financial Protection Bureau			
Intergovernmental Personnel Act (IPA) Agreement for Christopher Drahozal	27.011	IPA	45,569

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
<u>National Endowment of the Arts</u>			
<u>Direct Awards</u>			
Play/IT Forward: An exploration of a female aesthetic that sustains cultural traditions and personal histories	45.024	12-5400-7072	400
Lied Center Presents: the Performing Arts and Artist's Role in a Sustainable Community	45.024	13-5400-7070	26,155
Advancing Research Collaboration in the Humanities	45.130	CH-50951-12	120,000
Advancing Research Collaboration in the Humanities	45.130	CH-50951	300,000
Humanities Challenge Project II	45.130	CH-20811	500,000
Accessioning and photographing a historic collection of global art/culture at the Spencer Museum of Art, University of Kansas	45.149	PW-50797-11	39,220
Exploring the History of 19th-Century Ornithology and Scientific Illustration through the Works of John Gould	45.149	PW5084711	10,267
Don't Deny My Voice: Reading and Teaching African American Poetries	45.163	EH-50339-12	125,935
<u>National Science Foundation</u>			
<u>Direct Awards</u>			
Conference on Massive energy Storage for the Broader Use of Renewable Energy Sources	47.041	CBET-1335803	15,000
The US-India Chemical Engineering Conference and Workshop on Energy, Environment & Sustainability	47.041	CBET-1346934	30,000
REU Site: A Summer Experience for Undergraduates Integrating Research, Education, and Career Development in an Interdisciplinary Environment	47.049	CHE-1004897	2,053
Collaborative Research: Prairie Analysis Seminar 2009-2011	47.049	DMS-0942775	434
The Central Region Conference on Numerical Analysis and Dynamical Systems	47.049	DMS-1211934	4,261
EarthCube Domain End-User Workshop for Structural Geology and Tectonics	47.050	EAR1252279	6,915
REU Site: Models in Evolution, Ecology and Systematics	47.074	DBI-1262795	85,821
REU Site: Undergraduate Research in Molecular Biosciences From Molecules to Cells to Organisms	47.074	DBI-1156856	87,279
AToL: Collaborative Research: Systematics of Cypriniformes, Earth's Most Diverse Clade of Freshwater Fishes	47.074	DEB-0431262	(160)
Facilities to Enhance Research and Teaching at the University of Kansas Field Station	47.074	DBI-1034796	66,350
Doctoral Dissertation research: Welcoming the Outsider: Variations in Local Construction of the Law toward Immigrants (Williams, Linda)	47.075	SES-1228272	1,168
Intergovernmental Personnel Act (IPA) Agreement for Joshua Rosenbloom	47.075	SES-1257461-001	245,741
Graduate Research Fellowships	47.076	DGE-0907996	109,167
An Interdisciplinary Scholarship Program for Undergraduates in Renewable Energy	47.076	DUE-1060660	144,056
Graduate Research Fellowship Program	47.076	Agreement	96,000
IGERT: C-CHANGE: Climate Change, Humans, and Nature in the Global Environment	47.076	DGE-0801522	651,917
Adventures at Nanoscale: Superconductivity	47.076	DRL-1065789	7,767
WIS2DOM, Weaving Indigenous and Sustainability Sciences: Diversifying our Methods	47.078	PLR -1233266	22,032
ARRA: RET: Shaping Inquiry from Feedstock to Tailpipe (SHIFT)	47.082	EEC-0909100	62,845
ARRA: The University of Kansas Noyce Phase II Scholarship & Stipend Program	47.082	DUE-0934906	59,209
<u>Pass through Boston University</u>			
Developing a brain-machine interface for speech communication	47.075	4500001286	5,476
<u>Small Business Administration</u>			
<u>Pass through Fort Hays State University</u>			
University of Kansas Small Business Development Center	59.037	SBA 13-16	48,989
<u>U.S. Department of State</u>			
<u>Direct Awards</u>			
U.S. Institute on Women's Leadership: Women's Civic Leadership from the Heartland	19.001	S-ECAAE-12-CA-053FA	(325)
U.S. Institute on Women's Leadership: Women's Civic Leadership from the Heartland	19.009	S-ECAAE-11-CA-080(SS)	3,037
Study of the U.S. Institute of Student Leaders on Women's Leadership	19.009	S-ECAGD-13-CA-112(CD)	245,482
<u>Pass through Institute for International Education</u>			
6-Week English Refinement for Graduate Studies Program for Fulbright Grantees	19.400	15093	147,955
6-Week English Refinement for Graduate Studies Program for Fulbright Grantees	19.400	13914	40,542
The Indonesia English Language Study Program	19.400	13712	56,353
<u>U.S. Department of the Treasury</u>			
<u>Direct Awards</u>			
Legal Services for Students Low Income Taxpayer Clinic	21.008	12LITC0060	(13)
ESL - Legal Services for Students Low Income Taxpayer Clinic	21.008	13LITC0060	26,197

See accompanying notes to schedule.

UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - JUNE 30, 2014

Program title / Pass Through Grantor	CFDA	Grant #	Federal Expenditure
U.S. Department of Agriculture			
Direct Awards			
Natural Resources/Conservation-Seedling Production/Transplanting	10.874	AG-447U-P-13-0045	3,000
Pass through KS Dept. of Education			
Child Nutrition and Wellness Summer Food Service Program For Upward Bound	10.559	Agreement	5,846
Child Nutrition and Wellness Summer Food Service Program for Math and Science Center	10.559	Agreement	6,802
Child Nutrition and Wellness Summer Food Service Program for Harvest of Hope Leadership Academy	10.559	Agreement	5,392
Pass through University of Minnesota			
Monarch Joint Venture (MJV)	10.652	0000297902	82,404
U.S. Department of Transportation			
Pass through University of Kansas			
2012 Local Technical Assistance Program (LTAP)	20.215	SKS0069107-718	28
Pass through KS Dept. of Transportation			
2014 Local Technical Assistance Program (LTAP)	20.215	FY2014-053	128,238
Pass through KS State University, SPA			
Traffic Assistance Services for Kansas (TASK) Program	20.218	S13118	22,633
Traffic Assistance Services for Kansas (TASK) Program	20.218	S14108	9,794
Pass through KS Dept. of Transportation			
Transportation Safety Conferences 2013-2017	20.600	SA-0943-14	130,578
Police Driving Simulator	20.600	PT-1711-13	83,600
Biased-Based Policing Grant	20.611	RP-1911-14SP-2300-14	56,422
TOTAL EXPENDITURES OF FEDERAL AWARDS			\$ 132,404,196

University of Kansas Center for Research, Inc.
Notes to the Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2014

Note 1. Organization

The University of Kansas Center for Research, Inc. (the Center) is the recipient of several federal grants. The accompanying schedule of expenditures of federal awards presents the activity of all federal awards programs of the Center for the year ended June 30, 2014. All federal awards received directly from federal agencies, as well as federal awards passed through other government agencies, are included on the schedule.

Note 2. Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards includes the federal grant activity of the Center and is presented on the accrual basis of accounting. The information presented in this schedule is in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the financial statements.

Note 3. Cost Share

Local cost sharing, as defined by the Office of Management and Budget Circular A-21 is required by certain federal grants. The amount of cost sharing varies with each program. Only the federal share of expenditures is presented in the Schedule of Expenditures of Federal Awards.

Note 4. Additional Audits

Grantor agencies reserve the right to conduct additional audits of the Center's grant programs for economy and efficiency and program results which may result in disallowed costs to the Center. However, management does not believe such audits would result in any disallowed costs that would be material to the Center's financial position at June 30, 2014.

**University of Kansas Center for Research, Inc.
Notes to the Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2014**

Note 5. Subrecipients

Of the federal expenditures presented in this schedule, the Center provided federal awards to subrecipients as follows:

Program	CFDA Number	Amount Provided
Research & Development Cluster	N/A	\$ 17,018,643
Kansas State Plan for Assistive Technology	84.224	53,402
Center on Online Learning and Students with Disabilities	84.327	773,352
Design and Development of a Dynamic Learning Maps Alternate Assessment System	84.373	484,709
KAPP: Kansas Adoption Permanency Project	93.652	28,626
Equipment Exchange: Increasing Access to Durable Medical Equipment	93.778	118,132
Stimulus: MRI-R2: Development of a Pixel Detector for the Upgraded CMS Experiment	47.082	386,755
Job Corps Staff Development Support	17.268	73,755
IGERT: C-CHANGE: Climate Change, Humans, and Nature in the Global Environment	47.076	3,461
Technical Assistance System Network	84.027	44,124
Kansas State Plan for Assistive Technology	84.224	67,155
Project LIFE (Low Incidence Functional Endorsement)	84.325	8,801
Kansas University Center on Developmental Disabilities (KUCDD)	93.632	140,978
Kansas Workforce Initiative	93.648	10,138
Assisting Medicaid Beneficiaries in Accessing Assistive Technology	93.779	169,470
500 Nations Bridge Collaboration: Haskell Indian Nations University / U of Kansas	93.859	10,612
		<u><u>\$ 19,392,113</u></u>

**University of Kansas Center for Research, Inc.
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2014**

Section 1 - Summary of Auditor's Results

Financial Statements:

Type Audit Report Issued on Financial Statements of Auditee
Unmodified

Internal Control Over Financial Reporting
No material weaknesses identified. No significant deficiencies reported.

General Compliance
The audit did not disclose any instances of noncompliance which would be material to the financial statements.

Federal Awards:

Internal Control Over Major Programs
No material weaknesses identified. No significant deficiencies reported.

Type Audit Report Issued on Compliance for Major Programs
Unqualified

Audit Findings
The audit did not disclose any audit findings that are required to be reported under OMB Circular A-133.

Major Programs

<u>CFDA Number</u>	<u>Name of Federal Program</u>
Various	Research and Development Cluster
84.373	Dynamic Learning MAPS Alternate Assessment

Dollar Threshold Used to Distinguish Between Type A and Type B Program
\$3,000,000

Auditee Qualified as a Low-risk Auditee
Yes

Section 2 – Financial Statement Findings

Financial Statement Findings Required to be Reported in Accordance with Generally Accepted Government Auditing Standards

None

Summary Schedule of Prior Audit Findings

None

**University of Kansas Center for Research, Inc.
Schedule of Findings and Questioned Costs (continued)
For the Year Ended June 30, 2014**

Section 3 – Federal Award Findings and Questioned Costs

Federal Award Findings Required to be Reported in Accordance with OMB Circular A-133

None

Summary Schedule of Prior Audit Findings

None